A shear-driven mixing parameterisation for ocean climate models.

L Jackson\textsuperscript{1,2}, R Hallberg\textsuperscript{1}, S Legg\textsuperscript{1,2}
1. GFDL, NOAA, 2. Princeton University

Part of the Gravity Current Entrainment Climate Process Team:

- Study processes important for climate prediction to improve representation in climate models
- Collaborations between different institutions
- Observations, process studies, regional modelling, climate centres
Critical Processes in Gravity Currents

- Rotation
- Dense water
- Shear instability & entrainment
- Geostrophic eddies
- Hydraulic control at sill
- Bottom friction
- Bottom-stress mixing
- Detrainment
Parameterising Gravity Current Entrainment

Generally parameterised in terms of a bulk Richardson number $Ri_b$ or a local gradient Richardson number $Ri_g$ - ratio of potential energy to kinetic energy.

$$Ri_b = \frac{gh\Delta\rho/\rho_0}{\Delta u^2}$$

$$Ri_g = \frac{-g\rho_z/\rho_0}{u_z^2} = \frac{N^2}{S^2}$$

Linear theory - there is critical $Ri_c = 0.25$ above which there is no mixing.
Shear-driven mixing of stratified turbulence

Observed profiles from Red Sea plume from RedSOX (Peters and Johns, ‘05)

Active mixing interfacial layer
Shear param. appropriate here.

Well-mixed bottom boundary layer
(see Legg et al. 2006)
Existing Parameterisations

**Z-Coordinates** - Diffusivity $\kappa = \kappa(Ri)$ specifies entrainment as a diffusion of density

$$\frac{D\rho}{Dt} + w\rho_z = \frac{\partial}{\partial z} \left( \kappa \frac{\partial \rho}{\partial z} \right)$$

- Interior part of KPP: $\kappa(Ri) = 0.005(1-\min\{1,\frac{Ri}{Ri_c}\})$ (Large et al, 1994)
- Pacanowski and Philander (1996) also has $\kappa(Ri)$
- More sophisticated second order turbulence models

**Isopycnal Coordinates** - an increase in layer thickness is applied


$$\frac{Dh}{Dt} + h \nabla \cdot \mathbf{u} = \Delta UF(Ri_b)$$

$$\frac{D}{Dt} \left( -\frac{\partial z}{\partial \rho} \right) - \frac{\partial z}{\partial \rho} \nabla \cdot \mathbf{u} = \frac{\partial w^*}{\partial \rho} = 2 \left\| \frac{\partial \mathbf{u}}{\partial \rho} \right\| F(Ri)$$
A bulk entrainment law applies, provided the Reynolds number is not quite small.

\[ \text{Ent} = \Delta U \cdot F(Ri_{Bulk}) \]
Failure and Success of Existing Shear Mixing Parameterizations

• A universal parameterization can have no dimensional “constants”.
  • KPP’s interior shear mixing (Large et al., 1994) and Pacanowski and Philander (1982) both use dimensional diffusivities.

• The same parameterization should work for all significant shear-mixing.
  • In GFDL’s HIM-based coupled model, Hallberg (2000) gives too much mixing in the Pacific Equatorial Undercurrent or too little in the plumes with the same settings.

• To be affordable in climate models, must accommodate time steps of hours.
  • Longer than the evolution of turbulence.
  • Longer than the timescale for turbulence to alter its environment.

• 2-equation (e.g. Mellor-Yamada, k-ε, or k-w) closure models may be adequate.
  • The TKE equations are well-understood, but the second equation (length-scale, or dissipation rate, or vorticity) tend to be ad-hoc (but fitted to observations).
  • Need to solve the vertical columns implicitly in time for:
    1. TKE
    2. Dissipation/vorticity/length-scale
    3. Stratification (T & S)
    4. (and 5.) Shear (u & v)

• Simpler sets of equations may be preferable.
  • Many use boundary-layer length scales (e.g. Mellor-Yamada) and are not obviously appropriate for interior shear instability.

However, sensible results are often obtained by any scheme that mixes rapidly until the Richardson number exceeds some critical value. (e.g., Yu and Schopf, 1997)
Impact of Entrainment Parameterization on EUC

June Pacific EUC with $R_{icrit} = 0.8$ and $E_o = 0.1$ (Original values)

Annual Mean Pacific EUC
$R_{icrit} = 0.2$ and $E_o = 0.005$

Annual Mean Zonal Velocity and Temperature
HIM/CM2.2 Years 81–100

Zonal Velocity and Temperature in June
HIM/CM2.2 Base Case

MOM4 Reanalysis, June 1989–1993

MOM4 Reanalysis, 1989–1993
Link between z-coordinate and isopycnal parameterisations

The diffusion of density can be linked to the increase in layer thickness by

\[ \frac{D}{Dt}\left(-\frac{\partial z}{\partial \rho}\right) - \frac{\partial z}{\partial \rho} \nabla u = \frac{\partial w^*}{\partial \rho} = \frac{1}{\rho z} \frac{\partial}{\partial z} \left( \frac{1}{\rho z} \frac{D\rho}{Dt} \right) = \frac{1}{\rho z} \frac{\partial}{\partial z} \left( \frac{1}{\rho z} \frac{\partial}{\partial z} \left( \kappa \frac{\partial \rho}{\partial z} \right) \right) \]

With the parameterisation from Hallberg (2000) this gives:

\[ \text{change in layer thk} = \frac{1}{\rho z} \frac{\partial}{\partial z} \left( \frac{1}{\rho z} \frac{\partial}{\partial z} \left( \kappa \frac{\partial \rho}{\partial z} \right) \right) = 2 \left\| \frac{\partial u}{\partial \rho} \right\| F(Ri) \]

Problems - undefined diffusivity if stratification is zero  
- layer thickness never decreases

Constant stratification -

\[ \frac{\partial^2 \kappa}{\partial z^2} = -2 \left\| U_z \right\| F(Ri) \]
New Theory

\[ S = |U_z| \]

\[ \frac{\partial^2 \kappa}{\partial z^2} - \frac{\kappa}{L_d^2} = -2SF(Ri) \]

\[ L_d = \min\left( \frac{\lambda Q^{1/2}}{N}, \frac{\lambda Q^{1/2}}{f}, L_{wall} \right) \]

**Properties:**

- \( F(Ri) \) decreases with \( Ri \) till \( F(Ri_c) = 0 \)
- \( \kappa \sim SL^2 \) with a length scale which is a combination of the width of the low Ri region (where \( F(Ri) > 0 \)) and the decay length scale \( L_D \)
- Decays exponentially away from low Ri region with a length scale which is the minimum of:
  - buoyancy length scale (over which stratification affects TKE)
  - Ekman length scale (over which rotation affects TKE)
  - or decays linearly over the distance to boundary \( L_{wall} \)
- Produces smooth diffusivity
Lars Umlauf has pointed out to us that

\[ \frac{\partial^2 \kappa}{\partial z^2} - \frac{\kappa}{L_D^2} = -2SF(Ri) \]

can also be written as

\[
\frac{1}{\kappa} \frac{D\kappa}{Dt} = \frac{1}{\kappa} \left[ \frac{\partial}{\partial z} \left( \kappa \frac{\partial \kappa}{\partial z} \right) - \left( \frac{\partial \kappa}{\partial z} \right)^2 - \frac{\kappa^2}{L_D^2} + 2F(Ri) \kappa S \right] = 0
\]

‘diffusion’ of diffusivity

sink of diffusivity over different length scales

‘source’ of diffusivity
Need TKE budget

Assumptions:

• Q reaches steady state faster than background flow is evolving so no DQ/Dt term
• Assume Pr = 1 (for now)
• Include non-local diffusion of TKE
• Parameterisation of dissipation as \( \varepsilon = Q(c_N N + c_S S) \)
Limits

Homogeneous turbulence (constant shear and stratification)

- $\kappa \propto S L_b^2$ and

$$2 \lambda^2 F(Ri) = \frac{Ri(c_N Ri^{1/2} + c_S)}{1 - Ri}$$

- Only has a solution for a unique value of $Ri < Ri_c$, i.e., other steady state solutions have non-local balances.

- Compare to homogeneous turbulence literature (e.g., Baumert and Peters, 2000) where full equilibrium only occurs for single flux and gradient $Ri < Ri_c$.

Ellison and Turner limit:

- Assume large TKE
- Reduces to form similar to Hallberg (2000)

$$\frac{\partial^2 \kappa}{\partial z^2} = -2 \|U_z\| F(Ri)$$
Unstratified limit:

Evolves to a nearly parabolic diffusivity and constant shear over the interior, with large velocity shears near the edges. There are problems near the boundary, but the parameterisation can be modified to obey the law-of-the wall.

\[
\frac{\partial^2 v}{\partial z^2} - \frac{v}{L_d^2} = -2F_0 \left| \frac{\partial u}{\partial z} \right|
\]

\[
v |u_z| = u_*^2
\]

\[
v \approx \sqrt{2F_0 u_*} z
\]
\[ \frac{\partial^2 \kappa}{\partial z^2} - \frac{\kappa \lambda^2 N^2}{\lambda^2 Q} = -2SF(Ri) \]

Parameters

\[ \frac{\partial}{\partial z} \left( (\kappa + \nu_0) \frac{\partial Q}{\partial z} \right) + \kappa \left( S^2 - N^2 \right) - Q(c_N N + c_S S) = 0 \]
Parameter constraints:

\[ \lambda = \frac{L_d}{L_b} \]

• Length scale ratio of decay scale to buoyancy scale. Not clear what length scale \( L_d \) is, but expect \( \lambda \sim 0.6-1.0 \)

\[ F(Ri) = F_0 \frac{1-Ri / Ri_c}{1+\alpha Ri / Ri_c} \]

• \( F(0) \sim 0.1 \) from measurements of layer growth for unstratified turbulent mixing. Consistent with Xu et al (2006) parameterisation.

• \( F(Ri_c) = 0, \quad Ri_c = 0.25-0.3 \)

• The shape \( \alpha \) is unknown, though \( \alpha > -1 \)

\[ \left( \frac{L_{oz}}{L_b} \right)^2 = c_N + c_S Ri^{-1/2} \]

• Dissipation can be written in terms of the ratio of the Ozmidov and buoyancy length scales. Literature – ratio is constant or depends on \( Ri^{-1/2} \). Various values from literature: \( \sim O(0.1) \)
Simulations of shear-driven stratified turbulence

- High resolution numerical simulations (MITgcm)
- Non-hydrostatic
- 2m x 2m x 2.5m with grid size ~ 2.5mm in centre
- Background $\kappa=10^{-6}$ m$^2$s$^{-1}$, $\nu=2.5\times10^{-6}$ m$^2$s$^{-1}$
- Cyclic domain in x,y
- Constant initial stratification
- Shear and jet velocity profiles
- Forced so that a statistically steady state can be reached
- All profiles are spatially averaged in x and y and time averaged
Shear results

Kelvin-Helmholtz instability

3D stratified turbulence
DNS data

ET parameterisation

New parameterisation

\[
\begin{align*}
\text{Ri}_{Cr} &= 0.25, \ c_N = 0.30, \ c_S = 0.11, \ \lambda = 0.85 \\
\text{Ri}_{Cr} &= 0.30, \ c_N = 0.25, \ c_S = 0.11, \ \lambda = 0.79 \\
\text{Ri}_{Cr} &= 0.35, \ c_N = 0.24, \ c_S = 0.12, \ \lambda = 0.80
\end{align*}
\]
DNS data

ET parameterisation    New parameterisation

\[ \text{Ri}_{Cr} = 0.25, \ c_N = 0.30, \ c_S = 0.11, \ \lambda = 0.85 \]
\[ \text{Ri}_{Cr} = 0.30, \ c_N = 0.25, \ c_S = 0.11, \ \lambda = 0.79 \]
\[ \text{Ri}_{Cr} = 0.35, \ c_N = 0.24, \ c_S = 0.12, \ \lambda = 0.80 \]
Comparison to two equation turbulence models

Shear results

Jet results
Coupled Model
Annual Mean
SST Anomalies

New parameterization Years 21–40
RMS = 1.52°C

Old Parameterization Years 21–40
RMS = 1.59°C

Annual Mean Coupled Model SST Errors (°C)
New – Old parameterization Years 21–40

Annual Mean Sea Surface Temperature Difference (°C)
Results: Impact of shear-driven mixing parameterization on climate

In coupled simulations using Hallberg Isopycnal Model, with entrainment in Nordic overflows SSTs are warmer near entrainment site, and cooler to south, due to change in location of Gulf Stream induced by DWBC transport changes.
Pacific Equatorial Undercurrent

Mean Zonal Velocity and Temperature

New parameterization Years 21–40

Depth (m)

140°E 160°E 180°E 160°W 140°W 120°W 100°W 80°W

Old parameterization Years 21–40

Depth (m)

140°E 160°E 160°W 140°W 120°W 100°W 80°W

MOM4 Reanalysis, 1989–1993

Depth (m)

140°E 160°E 160°W 140°W 133°W 166°W 80°W

Modified ET param

Zonal Velocity (m s⁻¹)

-0.8 -0.4 -0.2 0 0.2 0.4 0.6 0.8 1 1.2
Conclusions

• Diffusivity based on a local Ri cannot capture the buoyancy flux for a stratified jet - need non-local terms

• Can link isopycnal parameterisations of mixing to a turbulent diffusivity so a parameterisation can be used for z and density level models.

• New shear-driven mixing parameterisation compares well to DNS results

• New parameterisation gives sensible solutions in particular limits

• Implicit numerical solution is robust and efficient

• Initial results of mixing in the overflows and EUC from a coupled global simulation are good.

Future/continuing work

• Analysis of mixing in global climate model.

• Comparing to high resolution gravity currents from collaborators in Miami.

• Sensitivity tests using idealised DOME test case