# THE FLORIDA STATE UNIVERSITY COLLEGE OF ARTS AND SCIENCES

# THE INFLUENCE OF COASTLINE GEOMETRY AND BOTTOM TOPOGRAPHY ON THE EASTERN OCEAN CIRCULATION

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A dissertation submitted to the Department of Meteorology in partial fulfillment of the requirements for the degree of Doctor of Philosophy

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#### ABSTRACT

An x-y-t two-layer, primitive equation model on a β-plane has been developed to study the effects of longshore variability on the wind-driven eastern ocean circulation. Of particular interest are the effects of various configurations of bottom topography and coastline geometry on the wind-driven baroclinic coastal jet. The model is timedependent and retains the free surface. It is integrated using an efficient semi-implicit numerical scheme and a variable resolution grid which allows both resolution of mesoscale boundary layers and longshore features, and a model region with an E-W extent great enough to permit development of a Sverdrup interior. A unique feature of the model is an open-basin northern and southern boundary condition which allows the development of a Sverdrup interior even when the model is solved on regions with mesoscale N-S extent.

The topographic β effect is found to play a fundamental role in the dynamics associated with mesoscale longshore variations in topography. Due to the combination of the wind stress and sloping topography, potential vorticity is not well conserved. The streamlines show only a slight tendency to parallel the isobaths. Longshore variatity is not well conserved. The streamlines show only a slight tendency to parallel the isobaths. Longshore variating in topography also produce barotropic flows required

for mass continuity extending far beyond the topographic feature. These flows appear to respond on a scale governed by the barotropic radius of deformation. Quite striking is the lack of large amplitude wave phenomena associated with mesoscale longshore topographic perturbations.

For mesoscale variations in coastline geometry the coastal currents and the pattern of vertical motion follow the coastline, but not with uniform strength. Thus, it is possible for strong upwelling to occur when not predicted by the local wind stress. It is also found that variations in coastline geometry may excite large amplitude internal Kelvin waves.

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#### 1. INTRODUCTION

An important feature of the eastern ocean circulation is coastal upwelling. Associated with the upwelling is an equatorward surface jet and a poleward undercurrent (Mooers et al., 1972). The present investigation focuses attention on the effects longshore variations in bottom topography and coastline geometry have on these phenomena. To date the effects of these longshore variations have received little attention. Hence, we begin with a brief review of the dynamics of the eastern ocean circulation as revealed by theoretical studies based on longshore—independent topography and coastline geometry.

The first important contribution to the theory of coastal upwelling was Ekman (1905). He considered a balance between vertical friction,  $\partial \vec{\tau}/\partial z$ , and the Coriolis force in the surface layer of a wind-driven ocean. For an equatorward wind stress in the eastern ocean such a balance predicts offshore flow in a thin surface layer and one-sided divergence at the coast. Mass continuity implies the occurrence of coastal upwelling and a deep onshore flow (Thorade, 1909). A convenient aspect of this simple theory is the uncoupling of the vertically-integrated horizontal momentum equations. A convenient aspect of this simple theory is the uncoupling of the vertically-integrated horizontal momentum equations. As a result it is possible to understand the gross features

of the circulation in a vertical cross-section normal to the coast without considering the longshore flow at all.

Subsequently, many investigators have studied the theory of the wind-driven eastern ocean circulation. Ekman dynamics has been an important element of most of the theories. We will consider only a few which are particularly relevant to the present study.

Hidaka (1954) and Yoshida (1955) attempted to understand the coastal circulation in the vertical plane normal to the coast. Hidaka's homogeneous, steady state model predicts upwelling confined to a viscous boundary layer of thickness (A/f)<sup>1/2</sup>, where A is the horizontal eddy viscosity and f is the Coriolis parameter. Using a time-dependent model which includes stratification, Yoshida found a characteristic width scale for coastal upwelling given by the baroclinic radius of deformation. This is a more realistic result in the light of observations (Mooers et al., 1972). Yoshida also argued that for an equatorward wind stress parallel to the coast both an equatorward surface jet and poleward undercurrent should exist, but presented no clearly defined theory.

Charney (1955) made an important contribution to
the theory of the eastern ocean circulation when he applied
conservation of potential vorticity to a theory of windconservation of potential vorticity to a theory of winddriven coastal jets. However, Charney intended his theory

for application to the Gulf Stream, and its relevance to

the eastern ocean circulation was overlooked until O'Brien and Hurlburt (1972) found a coastal jet with similar dynamics in their numerical model.

The time-dependent model of O'Brien and Hurlburt (1972) consists of two layers of finite depth, is on an f-plane and neglects all longshore derivatives. Later O'Brien (1973) solved a linear, inviscid version of this model with a flat bottom analytically. He found that the longshore flow consists of a barotropic mode governed by the barotropic radius of deformation, and a baroclinic mode governed by the baroclinic radius of deformation, both of which grow linearly with time under steady wind stress forcing parallel to the coast. Although the modes are oppositely directed, they have the same amplitude at the coast which precludes development of the observed under-current.

Allen (1973) also found the surface jet in a continuously stratified forplane model which neglects all longshore derivatives, but because he used the rigid lid approximation, his model does not contain a realistic treatment of the barotropic mode. The rigid lid imposes an integral constraint which requires the net transport normal to the coast to be zero at any point. This is a good approximation for his forplane model only if the width of the basin is much coast to be zero at any point. This is a good approximation for his forplane model only if the width of the basin is much less than the barotropic radius of deformation.

These f-plane models which neglect all longshore derivatives are missing a key dynamical ingredient, the longshore pressure gradient. Garvine (1971) investigated a homogeneous, steady-state model and demonstrated the longshore pressure gradient is an essential dynamical element of a homogeneous model of coastal upwelling. Without this longshore pressure gradient the subsurface onshore flow required by mass continuity must occur in a strong bottom Ekman layer in his model, a feature not in accord with observations (Mooers et al., 1972). Inclusion of this pressure gradient also yields oppositely directed longshore coastal currents in the surface and subsurface layers. However, their dynamics and associated horizontal and vertical structure suffer defects which are unavoidable when a homogeneous fluid is used to represent a stratified ocean. No physical mechanism for the production of a longshore pressure gradient of just the magnitude required to balance the onshore flow is included in Garvine's model, but he suggested that the "wind 'piles up' the water in the direction of the stress."

Yoshida (1967) found an equatorward surface current and poleward undercurrent in a wind-driven steady state model of the eastern ocean circulation on a  $\beta$ -plane. However, the occurrence of these currents in his model depends on a particular parameterization of thermohaline mixing and the existence of a Sverdrup balance within the upwelling zone. ticular parameterization of thermohaline mixing and the existence of a Sverdrup balance within the upwelling zone. Scale analysis is sufficient to show that such dynamics are not operant in the coastal upwelling circulation.

Hurlburt and Thompson (1973) investigated a time—dependent two-layer model on a vertical cross-section normal to a meridional coast which neglects longshore derivatives of the velocity field and the wind stress, but which retains the N-S pressure gradient and the β effect. For an equatorward wind stress and flat topography the longshore flow is characterized by a Sverdrup interior and geostrophically balanced jets in the upwelling boundary layer consisting of an equatorward surface jet and a poleward undercurrent. The offshore flow in the upper layer is Ekman drift reduced by the presence of the N-S sea surface slope. The compensating onshore flow in the lower layer is geostrophic.

From the point of view of vorticity dynamics the jet structure predicted by the model is most succinctly explained as a Sverdrup interior matched to an upwelling boundary layer in which potential vorticity is conserved. For the simple case of flat topography and no wind stress curl in the upwelling zone Hurlburt and Thompson were able to obtain a stronger result even for a dynamically much more general case. They demonstrated the vertically integrated longshore mass transport must nearly vanish point by point across the upwelling boundary layer.

Consideration of the momentum balance also led them
to expect the development of an equatorward surface jet and

To the unner lover the offshore
to expect the development of an equatorward surface jet and
a poleward undercurrent. In the upper layer the offshore
flow outside the upwelling zone is Ekman drift reduced by

the N-S pressure gradient force. The onshore flow in the lower layer is geostrophically balanced outside the upwelling zone. Inside the upwelling zone this combination of geo-strophy and Ekman drift breaks down to satisfy the kinematic boundary condition. Thus, in this region there is equatorward acceleration in the upper layer and poleward in the lower layer.

The momentum and vorticity dynamics can be linked by noting that under the assumptions of the model the Sverdrup flow is independent of latitude, but the E-W pressure gradient required for this flow to be geostrophically balanced is not. Hence, the Hurlburt and Thompson model develops a N-S sea surface slope which is independent of latitude for a N-S independent wind stress. Note that the appropriate N-S pressure gradient develops in response to the vorticity constraint of a Sverdrup interior and is not specified, and also that a N-S pressure gradient will develop in this manner only in basins large enough,  $0(10^3 \text{ by } 10^3 \text{ km})$ , to admit a Sverdrup interior.

In the present study an x-y-t two-layer, primitive equation model on a β-plane is used to investigate three-dimensional aspects of the wind-driven eastern ocean circulation. The model is time dependent and retains the free surface. It is integrated using an efficient semi-implicit

surface. It is integrated using an efficient semi-implicit numerical scheme and a variable resolution grid which allows resolution of mesoscale boundary layers and longshore features,

and a model region with an E-W extent great enough to permit development of a Sverdrup interior. A unique feature of the model is an open-basin northern and southern boundary condition which allows development of a Sverdrup interior even when the model is solved on regions with mesoscale N-S extent. Such a model is useful in studying the effects on the eastern ocean circulation of northern and southern boundary conditions, and longshore variations in the wind stress, bottom topography, and coastline geometry. Details of the model formulation are discussed in Section 2, and some tests of the boundary conditions in Section 3.

To date observational and theoretical studies of the three-dimensional eastern ocean circulation have been very limited. The effects of a closed basin have been studied by Pedlosky (1974), using a steady state model on an f-plane driven by an arbitrary wind stress distribution. He has also studied the effects of a closed basin, positive wind stress curl in the eastern ocean, and a continental slope as three factors affecting the direction and strength of currents in the eastern boundary layers (Pedlosky, personal communication).

Gill and Clarke (1973) and Suginohara (1973) have studied the effects of longshore variations in an equatorward wind stress both with and without longshore independent shelf-slope topography. They found that Kelvin wave and ward wind stress both with and without longshore independent shelf-slope topography. They found that Kelvin wave and continental shelf wave dynamics are important in understanding their results. In particular, Suginohara found that

when the wind is turned off the subsiding upwelled portion of the pycnocline propagates poleward at the speed of an internal Kelvin wave. He also found a poleward undercurrent in the flat bottom case, but reported that none develops until the wind is shut off in a case with a longshore independent continental shelf slope similar to that off Oregon. It should be noted that Suginohara's solutions are essentially for a closed basin with a rigid lid on an f-plane, since his basin (about 300 by 1500 km) is not large enough to develop a Sverdrup interior. The N-S pressure gradient and poleward undercurrent in his model are primarily the result of the solid northern and southern boundaries and the existence of the wind stress. That is, the mass continuity constraint imposed by the closed basin and the distribution of the wind reduces the amplitude of the barotropic mode for the N-S flow from that which would occur in the absence of N-S derivatives. Some additional effects of the wind stress distribution are discussed in Section 3c.

Attention in this study is focussed on the effects of various configurations of bottom topography (Section 4) and coastline geometry (Section 5) on the eastern ocean circulation. Several theoretical studies have considered the effects of topography without longshore variations (e.g., Hurlburt and Thompson, 1973). These studies show that for a constant wind stress parallel to the coast enhanced Hurlburt and Thompson, 1973). These studies show that for an equatorward wind stress parallel to the coast, enhanced upwelling should occur near the shelf break. However, the

only other study known to the author which includes topographic longshore variations is the diagnostic model currently under investigation by Peng and Hsueh (personal communication).

In the present study the topographic  $\beta$  effect is found to play a fundamental role in the dynamics associated with mesoscale, O(100 km), longshore variations in topography by affecting the strength of the longshore flow. Thus, this influence and mass continuity require currents normal to the coast which appear to respond on a scale governed by the barotropic radius of deformation. Due to the combination of the wind stress and sloping topography, potential vorticity is not well conserved. The streamlines show only a slight tendency to follow the isobaths. Quite striking is the lack of wave phenomena associated with the mesoscale topographic perturbations.

Arthur (1965) and Yoshida (1967) have discussed the effects of capes in terms of steady state flows, assuming the strength of the basic current is unaffected by the presence of the cape. Arthur writes the relative vorticity in natural coordinates and bases his discussion on a scale analysis of the vorticity equation. Yoshida considers capes with amplitudes small compared to the width of the basic longshore currents. Both conclude the strongest upwelling should occur on the south side of capes. longshore currents. Both conclude the strongest upwelling should occur on the south side of capes.

In the present time dependent study the effects of coastline variations with mesoscale, O(100 km), amplitude

and length scales are investigated. The width of the coastal currents is less than the amplitude of the coastline features. It is found that coastal currents and the pattern of vertical motion follow the coastline, but not with uniform strength. Along some parts of the coastline the wind may be normal to the coast. Thus, it is possible for strong upwelling to occur when not predicted by the local wind stress. Preferred regions for upwelling are identified, but it is found that when the winds are cut off the whole pattern of vertical motion propagates poleward along the coast at the speed of an internal Kelvin wave. Hence, significant upwelling may occur along any part of coastline feature after the wind has been cut off. In general it is found that variations in coastline geometry may excite large amplitude internal Kelvin waves.

#### 2. THE MODEL

## a. Model equations

The model equations are the vertically—integrated primitive equations for a stably stratified, rotating ocean on a β—plane. The origin is at the coast and a reference level below the sea surface. The hydrostatic and Boussinesq approximations are used and the effects of atmospheric pressure gradients and tidal potential are ignored. Thermodynamics and thermohaline mixing are also neglected. Their omission is discussed in Section 3.

Under the assumptions given, the momentum equations and equations of continuity form the following closed set for an x-y-t two-layer model on a  $\beta$ -plane.

$$\frac{\partial \vec{v}_1}{\partial t} + \vec{v}_1 \cdot \vec{v}_1 + \hat{k} \times \vec{f}_1 = -g\nabla (h_1 + h_2 + D) + \frac{\vec{\tau}_s - \vec{\tau}_I}{\rho h_1} + A\nabla^2 \vec{v}_1 \qquad (1)$$

$$\frac{\partial h_1}{\partial t} + \nabla \cdot (h_1 \vec{V}_1) = 0 \tag{2}$$

$$\frac{\partial \vec{v}_2}{\partial t} + \vec{v}_2 \cdot \nabla \vec{v}_2 + \hat{k} \times t \vec{v}_2 = -g \nabla (h_1 + h_2 + D) + g \cdot \nabla h_1 + d \cdot \nabla h_2 + d \cdot \nabla h_1 + d \cdot \nabla h_2 + d \cdot \nabla h_1 + d \cdot \nabla h_2 + d \cdot \nabla h_$$

$$+\frac{\vec{\tau}_1 - \vec{\tau}_B}{\rho h_2} + A \nabla^2 \vec{V}_2 \tag{3}$$

$$\frac{\partial h_2}{\partial t} + \nabla \cdot (h_0 \vec{V}_2) = 0 \tag{4}$$

$$\frac{\partial h_2}{\partial t} + \nabla \cdot (h_2 \vec{V}_2) = 0 \tag{4}$$

where 1 denotes the upper layer, 2 the lower layer,

$$\nabla = \frac{\partial}{\partial x} \hat{1} + \frac{\partial}{\partial y} \hat{J}$$

$$\vec{\nabla}_{i} = u_{i} \hat{1} + v_{i} \hat{J}$$

$$f = f_{0} + \beta (y - y_{0})$$

$$g' = g (\rho_{2} - \rho_{1})/\rho_{2}$$

$$\vec{\tau}_{s} = \tau_{sx} \hat{1} + \tau_{sy} \hat{J}$$

$$\vec{\tau}_{I} = \rho c_{I} |\vec{V}_{1} - \vec{V}_{2}| (\vec{V}_{1} - \vec{V}_{2})$$

$$\vec{\tau}_{B} = \rho c_{B} |\vec{V}_{2}| \vec{V}_{2}$$
(5)

and i = 1, 2. Symbols are defined in Appendix A, although most of the notation used is common in oceanography. D(x) represents the height of the bottom topography above a reference level. The formulation of the interfacial and bottom stresses follows O'Brien and Hurlburt (1972), but has been modified slightly to be invariant under coordinate transformation.

## b. Boundary conditions

The eastern and western boundary conditions are kinematic and no slip. These conditions may also be used at the northern and southern boundaries to form a closed basin.

However, to investigate mesoscale phenomena it may for ecomonic reasons be highly advantageous to solve the model on homic reasons be highly advantageous to solve the model on limited portions of basins. Immediately two related problems

arise. The first is the formulation of appropriate boundary conditions. The second is the realistic representation of large scale features of the circulation, such as a Sverdrup interior, which may exert an important influence on the mesoscale circulation. These two problems have been overcome in the present investigation by using a boundary condition based on the x-z plane model of Hurlburt and Thompson (1973) which neglects longshore derivatives of the velocity field and the wind stress, but which retains the N-S pressure gradient and the  $\beta$  effect. This condition permits development of a Sverdrup interior even when the portion of the basin solved has only a mesoscale N-S extent. However, the E-W scale, 0(1000 km) or more, cannot be relaxed if the model is to develop a Sverdrup interior without the imposition of some additional constraint.

The formulation of the boundary condition is discussed in Appendix B. Two crucial requirements for it to be applicable are that the longshore flow be nearly geostrophic and that

$$\left| \int_{-L_{x}}^{x} f \frac{\partial v_{i}}{\partial y} dx \right| << \left| \int_{-L_{x}}^{x} \beta v_{i} dx \right|$$

near the northern and southern boundaries, where  $-L_{\chi}$  is at the western boundary and i = 1, 2. Since f  $(\partial v_i/\partial y)$  may be comparable to  $\beta v_i$  in the eastern boundary layers, the latter comparable to  $\beta v_i$  in the eastern boundary layers, the latter requirement essentially demands that the N-S pressure gradient exhibit only a second order change across these eastern layers.

In short, the boundary condition, which we will refer to as quasi-symmetric because of its partial neglect of long-shore derivatives, sets  $\partial/\partial y=0$  in (1) through (4) except for the N-S pressure gradient in the momentum equations and  $\partial h_i v_i/\partial y$  in the continuity equations. The N-S pressure gradients are given by

$$g \frac{\partial}{\partial y} (h_{1} + h_{2} + D) = \int_{-L_{X}}^{X} \beta (v_{1} - v_{A}) dx + g \frac{\partial}{\partial y} (h_{1} + h_{2} + D) \Big|_{-L_{X}}$$

$$f \frac{\partial}{\partial y} (h_{1} + h_{2} + D) - g' \frac{\partial h_{1}}{\partial y} = \int_{-L_{X}}^{X} \beta (v_{2} + \frac{h_{1}}{h_{2}} v_{A}) dx + g \frac{\partial}{\partial y} (h_{1} + h_{2} + D) \Big|_{-L_{X}} - g' \frac{\partial h_{1}}{\partial y} \Big|_{-L_{X}}$$
where

$$f = \frac{\partial}{\partial y} (h_{1} + h_{2} + D) \Big|_{-L_{X}} - g' \frac{\partial h_{1}}{\partial y} \Big|_{-L_{X}}$$

$$f = \frac{\partial}{\partial y} (h_{1} + h_{2} + D) \Big|_{-L_{X}} - g' \frac{\partial h_{1}}{\partial y} \Big|_{-L_{X}}$$

$$f = \frac{\partial}{\partial y} (h_{1} + h_{2} + D) \Big|_{-L_{X}} - g' \frac{\partial h_{1}}{\partial y} \Big|_{-L_{X}}$$

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$$f = \frac{\partial}{\partial y} (h_{1} + h_{2} + D) \Big|_{-L_{X}} - g' \frac{\partial h_{1}}{\partial y} \Big|_{-L_{X}}$$

 $v_A = v_1 - \left[v_1 h_1 + \left(v_2 + \frac{g'}{f} \frac{\partial h_1}{\partial x}\right) h_2\right] / (h_1 + h_2)$  (8)

Application of the Hurlburt and Thompson model as a boundary condition has required two modifications which are discussed in Appendix B. Some tests of the boundary condition are discussed in Section 3. These demonstrate that solutions for a closed basin on a β-plane, the quasi-symmetric boundary conditions described here, and the x-z plane model of Hurlburt and Thompson are essentially the same in the interior and the eastern ocean. They may be different in the western ocean, if the N-S pressure gradients at the western boundary of the quasi-symmetric condition are not determined by an ocean, if the N-S pressure gradients at the western boundary of the quasi-symmetric condition are not determined by an integral constraint on the total mass flux through the northern and southern boundaries.

Hurlburt and Thompson show that the N-S pressure gradient which develops due to the vorticity constraint of a Sverdrup interior responds primarily to the geostrophic N-S flow. Due to (8) the inertial oscillations and Ekman drift do not affect the N-S pressure gradient in the northern and southern boundary condition. Several other techniques for the removal of ageostrophic components from v in (6) and (7) have been investigated, but the use of (8) is the only one which has not led to instabilities such as amplification of the fundamental barotropic E-W mode of the basin.

## c. Initial conditions

In most cases the model has been started from rest with no horizontal pressure gradients. However, the cases discussed in Section 5 have been integrated twice, once starting from rest, and a second time starting from the following quasi-balanced initial state with a Sverdrup interior:

$$u_1 = \frac{H_2}{H_1 + H_2} \left( \frac{\tau_{sy}}{\rho H_1} \right) (1 - e^{-r/\lambda})$$
 (9)

$$u_2 = -\frac{H_1}{H_2} u_1 \tag{10}$$

$$v_1 = v_2 = \frac{1}{\rho \beta(H_1 + H_2)} \text{ curl}_z \dot{\tau}_s$$
 (11)

$$H_1 = constant$$
 (12)

$$H_1 = constant$$
 (12)

$$H_2 = (H_2 + D) \Big|_{-L_x} - D + \frac{f}{\beta \rho g(H_1 + H_2)} \Big[ \tau_{sy} - \tau_{sy} \Big|_{-L_x} \Big]$$
 (13)

where r is the distance from the boundary and  $\lambda$  is the baro-clinic radius of deformation,

$$\lambda = \frac{NH_1}{f} \tag{14}$$

For the two-layer model the Brunt-Väisälä frequency, N, is given by

$$N^2 = \frac{g'H_2}{H_1(H_1 + H_2)} \tag{15}$$

The flow normal to the coast is a combination of geostrophy and Ekman drift which obeys the constraint, (10), required by the Sverdrup interior. The internal mode for the flow normal to the coast is brought to zero on a scale determined by the baroclinic radius of deformation.

In all cases the wind stress has been applied impulsively at initial time. This results in inertial oscillations, Rossby waves, and gravity waves which at times obscure aspects of the low frequency dynamics. Use of the quasi-balanced initial state reduces the amplitude of inertial oscillations, Rossby waves, and Kelvin waves and other gravity waves up to three orders of magnitude without significant alteration of the low frequency dynamics of the solutions. This has been verified by numerical experiment. In Section 5 solutions driven from rest are compared with solutions driven from the quasi-balanced initial state. It can be shown analytically that a gradual increase in the magnitude of the wind stress quasi-balanced initial state. It can be shown analytically that a gradual increase in the magnitude of the wind stress with a time constant of two days (a more expensive procedure) would reduce the amplitude of the inertial oscillations by only about one order of magnitude.

### d. Numerical formulation

The numerical model is specifically designed to examine boundary layer phenomena and to handle various configurations of bottom topography and coastline geometry. However, proper inclusion of β-plane dynamics, which Hurlburt and Thompson (1973) have demonstrated exert a major influence on the eastern ocean circulation, requires a minimum basin width of about 1000 km, while proper resolution of the eastern boundary layers requires Δx < 5 km, where Δx is the grid increment in the E-W direction. The appropriate Δy is determined by the longshore scales of wind stress, bottom topography, and coastline geometry. In the case of a closed basin the need to resolve boundary layers along the northern and southern walls may be an important consideration.

The number of grid points required is greatly reduced by using a variable resolution grid in both x and y directions. A different technique to vary the resolution is employed in each direction. In the x-direction the variation of  $\Delta x$  is discontinuous, and  $\Delta x$  is changed by factors ranging typically from 1.5 to 4. This technique allows flexible distribution of the fine mesh and large changes in  $\Delta x$  over a small number of grid points. It also allows the same value of  $\Delta x$  along all parts of a variable coastline. In most cases to be discussed  $\Delta x$  varies from about 3 to 300 km and changes several

cussed  $\Delta x$  varies from about 3 to 300 km and changes several times across the basin. As an example,  $\Delta x$  versus x for a case to be discussed in Section 5 is given in Table 1.

Table 1.  $\Delta x$  versus x

undaries in km stern boundary	Δx in km
0 120 150 180 300 600 4500 4800	4 6 10 30 100 300 100 40
5000	20

The y-coordinate is stretched analytically by a change of independent variable. The stretched variable is defined by

$$s(a) = c \left[ \alpha a + \sum_{k=1}^{N} \tanh \left( \frac{a - a_k}{\gamma_k} \right) + b \right], \qquad (16)$$

a functional form used for this purpose by Schulman (1970). The constants b and c are chosen such that

$$s(0) = 0$$
 and  $s(1) = 1$  (17)

Thus,

$$b = \sum_{k=1}^{N} \tanh \left( \frac{a_k}{\gamma_k} \right)$$
 (18)

$$V = \int_{k=1}^{L} vain \left( \frac{N}{Y_k} \right) \left( 1 - a_k \right) \left[ -1 \right]$$
(10)

$$c = \left[\alpha + b + \sum_{k=1}^{N} \tanh\left(\frac{1 - a_k}{\gamma_k}\right)\right]^{-1}$$
 (19)

Since

$$q = q(s(a(y)))$$
 (20)

where q is any dependent variable and

$$a = y/L_{v}, (21)$$

the first and second derivatives in y are given by

$$\frac{dq}{dy} = \frac{1}{L_{y}} \frac{ds}{da} \frac{dq}{ds}$$
 (22)

$$\frac{d^{2}q}{dy^{2}} = \frac{1}{(L_{y})^{2}} \left(\frac{ds}{da}\right)^{2} \frac{d^{2}q}{ds^{2}} + \frac{1}{(L_{y})^{2}} \frac{d^{2}s}{da^{2}} \left(\frac{dq}{ds}\right)^{2}$$
(23)

Hence, this technique is particularly simple to incorporate in existing code without a stretched variable. In all cases to be discussed N = 1 and  $a_1$  = 0.5. The values of the stretching parameters,  $\alpha$  and  $\gamma_1$ , vary from case to case. At most  $\Delta y$  varies by a factor of 12, from 5 to 60 km, for some cases discussed in Section 5.

The finite difference scheme is explicit in the y-direction and semi-implicit in the x-direction, so a time step greater than allowed by the usual CFL condition is possible. In the x-direction the terms responsible for the fastest propagating waves in the system, the internal and external gravity waves, are treated implicitly using a scheme patterned after Kwizak and Robert (1971), but which is sufficiently different that it is explained in detail by O'Brien and Hurlburt (1972). The diffusive terms are also treated imdifferent that it is explained in detail by O'Brien and Hurlburt (1972). The diffusive terms are also treated implicitly in the x-direction using the Crank-Nicholson (1947) scheme.

To determine the velocity components in each row (x-direction), a pair of coupled tridiagonal matrices must be inverted. The tridiagonal property, which allows efficient inversion of the matrices, may be retained despite the discrete changes in Δx by computing derivatives in terms of the fine grid increment rather than the coarse at points where Δx changes. At these points an interpolative differencing technique is employed such that

$$\frac{\partial u}{\partial x} \simeq (u_{j+1} - [1 - \varepsilon] u_j - \varepsilon u_{j-1})/2\Delta x_2, \qquad (24)$$

$$\frac{\partial^2 \mathbf{u}}{\partial \mathbf{x}^2} \simeq (\mathbf{u}_{j+1} - [1 + \epsilon] \mathbf{u}_j + \epsilon \mathbf{u}_{j-1}) / \Delta \mathbf{x}_2^2$$
 (25)

and

$$\frac{\partial uv}{\partial x} \simeq \{(u_j + u_{j+1})(v_j + v_{j+1}) - ([2 - \varepsilon]u_j + \varepsilon u_{j-1})([2 - \varepsilon]v_j + \varepsilon v_{j-1})\} / 4\Delta x_2$$
(26)

where  $\varepsilon = \Delta x_2/\Delta x_1 < 1$ , and j is the grid point index. Except for a practical upper bound on  $\varepsilon^{-1}$  which varies from problem to problem, there is no restriction on  $\varepsilon$  or the spacing of points at which  $\Delta x$  is changed. The model has proved very efficient in terms of core storage and for min  $\Delta y >> \min \Delta x$  in terms of computing time. Thus, it has been feasible to compute a number of cases, O(100).

Other properties of the finite difference scheme

include leap-frog time differencing and Scheme F from GrammelOther properties of the finite difference scheme

include leap-frog time differencing and Scheme F from Grammeltvedt (1969), which makes use of quadratic averaging, for the

advective terms. The frictional terms are lagged in time except for the implicit treatment of horizontal friction in the x-direction mentioned earlier. Since the order of the leap-frog time differencing scheme is greater than the order of the differential equations, the first time step is a forward difference. Differencing at the boundaries is one sided. In particular

$$\frac{\partial h_i u_i}{\partial x} \simeq - [h_i(0,y,t) + h_i(-\Delta x,y,t)] u_i(-\Delta x,y,t)/2\Delta x (27)$$

is used over flat topography where i = 1, 2, and  $u_i(0,y,t) = 0$ .

Over sloping topography

$$\frac{\partial h_{\underline{1}} u_{\underline{1}}}{\partial x} \simeq -h_{\underline{1}}(-\Delta x, y, t) u_{\underline{1}}(-\Delta x, y, t) / \Delta x \tag{28}$$

was found to yield superior results. A continuous check showed mass conservation  $0(10^{-3} \text{ per cent})$  or better for the cases to be discussed.

### e. Model parameters

We need only specify the model parameters to complete the formulation of the model. Parameter values given in Table 2 are those used in Section 5, and unless otherwise noted, are used in the other cases as well. The cases discussed in Section 5 are driven by the steady wind stress distribution shown in Fig. 1. Minor variations depending on the width of the basin are used in other cases. Unless

the width of the basin are used in other cases. Unless otherwise noted, the stress distribution is independent of the y-coordinate. In some cases the wind has been shut off

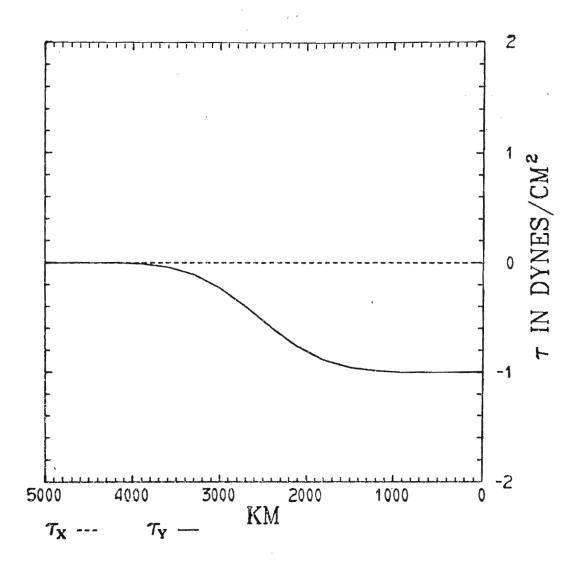


Fig. 1. E-W profiles of the wind stress components:  $\tau_{\text{sy}}$  is -1 dyn cm $^{-2}$  in the eastern ocean;  $\tau_{\text{sx}}$  is zero everywhere.

Table 2. Model parameters

Parameter	Value	Parameter	Value
A	10 <sup>6</sup> cm <sup>2</sup> sec <sup>-1</sup>	H <sub>l</sub>	50 m
$\mathtt{c}_{\mathtt{B}}$	103	H <sub>2</sub>	150 m
CI	10 <sup>5</sup>	$^{\mathrm{L}}\mathrm{x}$	5000 km
f <sub>0</sub>	4×10 <sup>5</sup> sec <sup>1</sup>	Ly	800 to 1000 km
g	$10^3$ cm sec <sup>-2</sup>	β	$2\times10^{-13}$ cm $^{-1}$ sec $^{-1}$
gʻ	2 cm sec <sup>-2</sup>	ρ	1 gm cm <sup>-3</sup>

at day 5 to examine the spin-down process. For the wind stress in Fig. 1 curl<sub>z</sub>  $\dot{\tau}_s$  ranges from 0 to -6.7×10<sup>-9</sup> dyn cm<sup>-3</sup>.

An interfacial stress coefficient of  ${\rm C_I}=10^{-5}$  is used as suggested by Thompson and O'Brien (1973). A bottom stress coefficient of  ${\rm C_B}=10^{-3}$  is prescribed after Proudman (1953). Hurlburt and Thompson (1973) have shown that the value of the N-S pressure gradient at the western boundary should have little effect on the eastern circulation of an ocean with a Sverdrup interior. Hence, in the northern and southern boundary conditions the N-S pressure gradients at the western boundaries are arbitrarily set to zero. The solution in the eastern ocean is also nearly independent of the wind stress distribution outside the eastern boundary solution in the eastern ocean is also nearly independent of the wind stress distribution outside the eastern boundary layers, provided a Sverdrup balance exists just west of these layers.

An attempt has been made to choose parameter values which are realistic for the eastern ocean. In particular the stratification and the layer thicknesses are based on observations in this region. For example, observations show that the upwelling circulation is confined to the upper few hundred meters (e.g., see Mooers et al., 1972). Fortunately, the qualitative results of the model solutions are quite insensitive to the less certain frictional parameters: A,  $C_B$ , and  $C_{\mathrm{I}}$ , at least within the range of physically plausible values. However, the value of A is quantitatively significant, e.g., see the comparison of solutions using  $A = 10^6$ and 107 cm<sup>2</sup> sec<sup>-1</sup> by Hurlburt and Thompson (1973). The observational literature for the Oregon coastal region alone suggests a wide range of values for A. Stevenson et al. (1973) find values from about  $10^4$  to  $10^7$  cm<sup>2</sup> sec<sup>-1</sup>. Mooers et al. (1972) calculate values from (2 to 5)× $10^5$  cm<sup>2</sup> sec<sup>-1</sup> for low passed data with a half power point at 40 hr.

#### 3. PRELIMINARY ANALYSIS OF THE MODEL

### a. Review of basic model dynamics

The dynamics of the eastern ocean circulation on a \$\beta\$-plane for a long, straight meridional coast are discussed in detail by Hurlburt and Thompson (1973) and have been summarized in the introduction. Suffice it to note here that the longshore flow is nearly geostrophic. A Sverdrup balance is a characteristic feature of the interior solution for an ocean scale basin. For an interior solution which nearly eliminates the barotropic mode (e.g., a Sverdrup interior) the interior flow normal to the eastern boundary consists of Ekman drift reduced by the N-S pressure gradient in the upper layer, and is geostrophic in the lower layer. As explained in the introduction, the breakdown of this combination of geostrophy and Ekman drift in the eastern boundary layers leads to the development of coastal jets.

Fig. 2 shows a solution at day 5 for a flat bottom case driven from rest by a y-independent equatorward wind stress with an E-W distribution similar to that of Fig. 1. The half amplitude point is 1500 km from both eastern and western boundaries. The wind stress curl ranges from 0 to  $10^{-8}$  dyn cm<sup>-3</sup>. Ax ranges from 1 to 200 km, Ay = 200 km, western boundaries. The wind stress curl ranges from 0 to  $10^{-8}$  dyn cm<sup>-3</sup>. Ax ranges from 1 to 200 km, Ay = 200 km, and  $\Delta t = 30$  min. The other parameters are given in Table 2

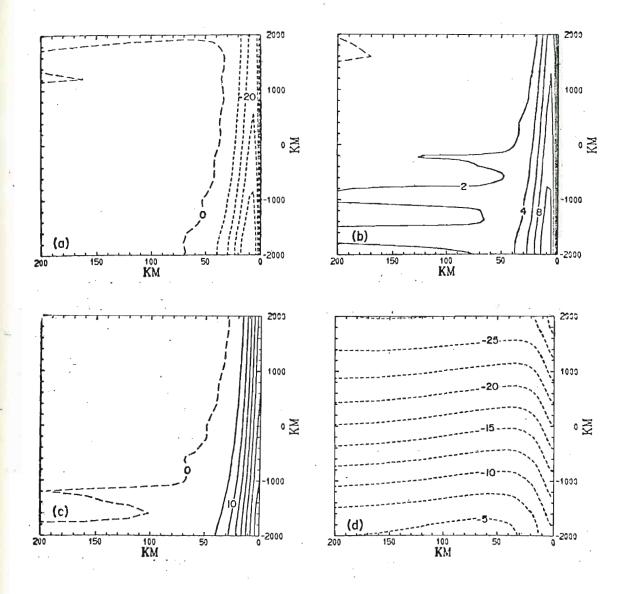


Fig. 2. Solution at day 5 for a flat bottom case on a  $\beta$ -plane with a y-independent wind stress and quasisymmetric northern and southern boundary conditions. The model region is  $3000\times4000$  km, but only the eastern 200 km of greatest interest are shown. The N-S velocity components (cm sec-1) show an equatorward surface jet (a), a poleward undercurrent (b), and weak interior flow. The pycnocline height anomaly (c) in m shows upwelling near the eastern boundary. The free surface anomaly (d) in cm shows the N-S pressure gradient and indicates the geopycnocline height anomaly (c) in m shows upwelling near the eastern boundary. The free surface anomaly (d) in cm shows the N-S pressure gradient and indicates the geostrophic nature of the equatorward surface jet.

except that  $L_x = 3000 \text{ km}$  and  $L_v = 4000 \text{ km}$ . Fig. 2a,b shows the equatorward surface jet, poleward undercurrent, and weak interior flow. The N-S waves, which are especially prominent in Fig. 2b, decrease in wavelength with time, and occur because the inertial period is a function of latitude. Fig. 2c.d shows the pyclocline height anomaly and the free surface anomaly. The height anomaly is defined as the departure of a surface from its initial position. The width scale for the upwelling is governed approximately by the baroclinic radius of deformation, (14). The N-S pressure gradient and the eastern boundary layer are clearly evident in the free surface. Note that the coastal jets and the upwelling markedly decrease in amplitude with latitude, although linear inviscid theory (O'Brien, 1973) predicts their amplitude to be independent of latitude. The decrease with latitude occurs because the radius of deformation \( \alpha \) f \( \frac{1}{2} \), whereas the width of the viscous boundary layer is nearly independent of latitude, i.e., in the y-momentum equation the width of the viscous boundary layer is given by

$$L_{v} \simeq \left(\frac{Av}{fu}\right)^{1/2} \tag{29}$$

where  $u \propto f^{-1}$ . Since v/u = 0(10), the longshore flow may be nearly geostrophic even into the hydrostatic viscous boundary layer.

layer.

The following sub-sections discuss briefly some additional fundamental characteristics of the model in terms of simple case studies. Topics include the neglect of thermodynamics and thermohaline mixing, open basin versus closed basin, for plane versus  $\beta$ -plane, the western ocean solution, and the effects of N-S sloping topography and coastline orientation.

# b. Neglect of thermodynamics and thermohaline mixing

As mentioned in Section 2, thermodynamics and thermonaline mixing have been neglected in the x-y-t two-layer model under investigation. However, Thompson (1974) has included them in a two-layer x-z plane model which incorporates β-plane dynamics in the same manner as Hurlburt and Thompson (1973). His model demonstrates that the inclusion of thermodynamics and thermohaline mixing becomes important when the interface between the two layers exhibits large departures from its initial position, but for small departures his solutions are in essential agreement with the Hurlburt and Thompson model in which density is constant within a given layer.

In the present model the interface between the layers surfaces in slightly over 6 days at low latitudes (approximately 15°) for the parameters in Table 2, when driven from rest by the steady wind stress shown in Fig. 1. Beyond day 5 most of the solutions discussed in Sections 3, and 5 must be considered suspect at low latitudes except Beyond day 5 most of the solutions discussed in Sections 3, 4, and 5 must be considered suspect at low latitudes except in the cases where the wind has been shut off at day 5.

### c. Open basin versus closed basin

Before we discuss the effects of coastline geometry and longshore variations in the bottom topography, it is necessary to assess the role of the northern and southern boundary conditions and  $\beta$ -plane dynamics in the model. The seven case studies listed below are quite helpful in determining these roles.

- 1. f-plane, closed basin,  $f = 10^{-4} \text{ sec}^{-1}$ .
- 2.  $\beta$ -plane, closed basin,  $f = (4 \text{ to } 14) \times 10^{-5} \text{ sec}^{-1}$ ,  $\beta = 2 \times 10^{-13} \text{ cm}^{-1} \text{ sec}^{-1}$ .
- 3. Linear variation in  $\beta$ , closed basin, f = (4 to 12) $\times 10^{-5} \text{ sec}^{-1}$ ,  $\beta = (2.2 \text{ to } 1.6) \times 10^{-13} \text{ cm}^{-1} \text{ sec}^{-1}$ .
- 4.  $\beta$ -plane, quasi-symmetric northern and southern boundary conditions,  $f = (4 \text{ to } 14) \times 10^{-5} \text{ sec}^{-1}$ ,  $\beta = 2 \times 10^{-13} \text{ cm}^{-1} \text{ sec}^{-1}$ .
- 5. Same as 4, but with y-independent wind stress.
- 6. Quasi-symmetric x-z plane model of Murlburt and Thompson (1973) which neglects longshore derivatives of the velocity field, but includes the N-S pressure gradient and the  $\beta$  effect (see also Introduction and Section 2b),  $f = 10^{-4}$  sec<sup>-1</sup>,  $\beta = 2 \times 10^{-13}$  cm<sup>-1</sup> sec<sup>-1</sup>.
- 7. x-z, f-plane model of O'Brien and Hurlburt (1972),  $f = 10^{-4} \text{ sec}^{-1}$ .

Some of the salient results are summarized in Table 3 at a latitude where  $f=10^{-4}$ . In all cases the bottom topography Some of the salient results are summarized in Table 3 at a latitude where  $f=10^{-4}$ . In all cases the bottom topography is flat and  $L_{\rm x}=3000~{\rm km}$ . In the x-y-t cases  $L_{\rm y}=5000~{\rm km}$ 

Table 3. Open basin versus closed basin at day 6

Case	v <sub>l</sub> at jet max (cm/sec)	v <sub>2</sub> at jet max or 8 km from coast (cm/sec)	Vbarotropic 50 km from coast (cm/sec)	Pycno-cline height anomaly at coast (m)	β (cm <sup>-1</sup> sec <sup>-1</sup> ) ×10-13	y coord (km) -2500 < y < 2500
1	-39.4	2.7	·5 <b>·</b> 9	35.5	0	500
2	-34.9	7.5	•5	36.4	2	500
3	-34.8	7.0	<del>~</del> .5	36.0	1.8	900
4	-34.0	<b>7.</b> 5	1.1	36.6	2	500
5	-33.8	8.9	2.1	36.4	2	500
6	-32.4	8.4	1.5	35.1	2	0.00
7	51.2	-11.4	-19.8	35.2	0	Len.

and  $y_0$  = -2500 km. The E-W distribution of the wind stress is the same as for the case discussed in Section 3a. In Cases 1, 2, and 3 the N-S distribution of  $\tau_{sy}$  is y-independent between y =  $\pm 1500$  km, but is gradually brought to zero near the northern and southern boundaries to avoid the necessity of resolving strong boundary layers near these boundaries. The N-S distribution of  $\tau_{sy}$  for Case 4 is y-independent between y =  $\pm 1000$  km, but is gradually brought to zero at y =  $\pm 2000$  km. In all the cases  $\Delta t$  = 30 min,  $\Delta x$  = 2.5 to 200 km, and in the x-y-t cases  $\Delta y$  = 100 km. Other parameter values are those given in Table 2.

Mote the results in Table 3 are essentially the same Other parameter values are those given in Table 2.

Note the results in Table 3 are essentially the same for the cases which retain planetary vorticity advection

(Cases 2 through 6), but the f-plane cases (1 and 7) differ from these substantially. These are the expected results, if the Sverdrup interior exerts an important influence on the eastern ocean circulation. The similarity of Cases 2 through 6 is necessary if the x-y-t two layer model with quasi-symmetric northern and southern boundary conditions is to be a useful model of the eastern ocean circulation.

Several additional aspects of these solutions are worthy of note. First, it is clear that under the assumptions of the model  $\partial v_i/\partial y=0$  or at least

$$\left| \int_{-L_{x}}^{x} f \frac{\partial v_{i}}{\partial y} dx \right| << \left| \int_{-L_{x}}^{x} \beta v_{i} dx \right|$$

at initial time and after the establishment of a Sverdrup interior (cf.Section 2b). However, if this is not true during the adjustment process, it is conceivable that the effect of f  $(\partial v_i/\partial y)$  on the N-S pressure gradient might systematically compensate for that of  $\beta v_i$  through Rossby waves with y variations. In that case the development of a Sverdrup interior might occur on a longer time scale than that predicted by the Hurlburt and Thompson (1973) model. This should not occur, since the excitation and properties of the Rossky waves are independent of latitude in the model (except for Case 3), although the geostrophic adjustment process is not. The similarity of Cases 2 in the model (except for Case 3), although the geostrophic adjustment process is not. The similarity of Cases 2 through 6 also demonstrates that the approximations made by Hurlburt and Thompson do not artifically reduce the

time scale for the development of a Sverdrup interior. In all the cases (except Case 7) a poleward undercurrent appears in less than one day.

Case 3 (linear variation in  $\beta$ ) shows that physically realistic variations in  $\beta$  do not significantly alter the solutions, although the time scale for the development of the Sverdrup interior is slightly increased in the poleward portion of the basin where  $\beta$  is smaller. Substitution of the Sverdrup relation

$$v_1 h_1 + v_2 h_2 = \frac{1}{\rho \beta} curl_z \dot{\tau}_s$$
 (30)

into (6) or (7) ( $v_1 \simeq v_2$ ) demonstrates that, to within the approximations made in deriving the quasi-symmetric boundary condition, the N-S pressure gradient is independent of the value of  $\beta$  in a Sverdrup interior.

Only Cases 2, 3, and 4 begin to develop a western boundary current. Cases 5 and 6 would also develop a western ern boundary current if a non-zero N-S pressure gradient was specified at the western boundary of the quasi-symmetric condition. This would best be done by imposing an integral constraint on the total mass flux through the cross-section at which the quasi-symmetric condition is applied. However, the Sverdrup interior and consequently the eastern ocean circulation are essentially independent of the western ocean solution as verified by Cases 2 through 6. See Hurlburt and circulation are essentially independent of the western ocean solution as verified by Cases 2 through 6. See Hurlburt and Thompson (1973) for additional discussion of this topic.

In the  $\beta$ -plane case the barotropic mode may be nearly eliminated by the vorticity constraint expressed by the Sverdrup balance, (30). In the f-plane case this constraint no longer exists, but constraints due to mass continuity may still exert a controlling influence on the development of the barotropic mode.

To investigate this problem numerical experiments on an f-plane (not included in Table 3) were conducted using three different sets of boundary conditions at  $y=\pm 2500$  km: closed basin,  $\partial n_1/\partial y=0$  in the momentum equations, and  $\partial v_1/\partial y=0$  in the continuity equations. Note application of the second and third boundary conditions simultaneously over specifies the problem. In all the experiments the wind stress is longshore and exhibits a y-dependence like Case 4 with  $L_y=5000$  km. Both x-dependent and x-independent distributions are used, and  $L_x=200$  km or 3000 km.

pressure gradient and consequently the magnitude of the barotropic mode for the f-plane case are affected by the distribution of the wind stress, the proximity of boundaries, and the type of boundary conditions used. In all the cases the barotropic mode is weaker than in Case 7, and in most cases at least a weak polevard undercurrent develops in the upwelling zone. The barotropic mode for a closed basin on an f-plane is eliminated completely only if the depth and the wind stress are uniform across the basin in the direction

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normal to the wind stress. This mode is also eliminated in a similar case with  $\partial h_1/\partial y = 0$  at the boundaries,  $y = \pm 2500$  km.

The following vertically integrated barotropic equations on an f-plane plus geostrophy in the longshore flow yield some additional insight into the f-plane dynamics, especially the manner in which the pattern of the wind stress may affect the N-S pressure gradient:

$$g \frac{\partial \zeta}{\partial y} = \int_{-L_{X}}^{X} f \frac{\partial v}{\partial y} dx + g \frac{\partial \zeta}{\partial y} \Big|_{-L_{X}}$$
 (31)

$$\frac{\partial v}{\partial t} = -g \frac{\partial \zeta}{\partial y} + \frac{\tau_{sy}}{\rho h} - f u$$
 (32)

ζ is the free surface anomaly and h is the total depth.

Eq. (31) is obtained by assuming geostrophy for the longshore flow, integrating the vorticity equation in x and
comparing the result with the y-momentum equation.

Especially in the absence of a Sverdrup interior, f θν/θy
is not a small term in the vorticity equation in some
regions. This may result in large variations of the N-S
pressure gradient in the x-direction. For the f-plane
cases these variations are accurately described by (31).

Note in (32) there are three competing mechanisms for the longshore acceleration. In the Sverdrup interior of a  $\beta$ -plane solution the Coriolis term nearly vanishes, for the longshore acceleration. In the Sverdrup interior of a  $\beta$ -plane solution the Coriolis term nearly vanishes, the N-S pressure gradient approximately balances the wind stress, and little or no barotropic mode develops. In

the f-plane case (32) suggests the initial development of v < 0 in the region forced by  $\tau_{\rm Sy} < 0$ , but  $v \simeq 0$  north and south of this region. (Directional terminology is used for convenience in this f-plane discussion by assuming the same orientation as the  $\beta$ -plane cases.) Mass continuity then demands u > 0 in the northern part of the forced region and u < 0 in the southern part. Thus, (32) shows  $\partial v/\partial y < 0$  in both the region forced by the wind stress and in the region of compensating northward flow west of the forced region. In the absence of a N-S pressure gradient at the western boundary (31) shows this leads to  $\partial h/\partial y < 0$ , and from (32) retardation in the development of the barotropic mode. The N-S pressure gradient at the western boundary develops in response to the demands of mass continuity imposed by the boundary conditions.

Much more could be said about these solutions, but our interest here is limited to the f-plane, β-plane comparison, noting that mechanisms exist in an f-plane model which may reduce the barotropic mode. However, only in a few special cases do they match or exceed the effectiveness of a Sverdrup interior. For a given solution using an ocean scale basin and a longshore wind stress, the relative importance of the f-plane and β-plane mechanisms to the undercurrent would depend primarily on the scale of the wind portance of the i-plane and p-plane mechanisms to the undercurrent would depend primarily on the scale of the wind stress distribution and the direction of the coastline.

Yoshida (1973) also discusses the effect of the wind stress

distribution on the barotropic mode, and hence the prospects for the development of a counter-undercurrent in the eastern boundary layer.

The amplitude of the Rossby waves in the closed basin is less than in the open basin because part of the N-S pressure gradient required by a Sverdrup interior develops to satisfy mass continuity on a slightly shorter time scale associated with barotropic gravity waves. This accounts for much of the slight differences in the barotropic mode and the strength of the coastal jets between Case 2 and Cases 4, 5, and 6. These slight discrepancies should be reduced if the N-S pressure gradients at the western boundary of the quasi-symmetric condition are determined by an integral constraint on the total mass flux through the cross-section at which the quasi-symmetric condition is applied.

At this point it seems clear that the solutions using quasi-symmetric northern and southern boundary conditions with the N-S pressure gradients at the western boundary arbitrarily set to zero provide satisfactory interior and eastern ocean solutions for the simple case of flat topography and no wind stress curl in the eastern ocean, at least on the several day time scale of the integrations. This is not surprising, because for a solution with an eastern boundary layer which conserves potential vorticity matched to a not surprising, because for a solution with an eastern boundary layer which conserves potential vorticity matched to a Sverdrup interior, all the dependent variables except in the western ocean are determined by dynamical constraints which

are internal, linked to the wind stress forcing or to the eastern boundary, but which are independent of the western, northern and southern boundaries. This is also true for a dynamically more general case with a flat bottom and no wind stress curl in the upwelling zone as demonstrated by Hurlburt and Thompson (1973). They show that the net longshore transport must nearly vanish point by point where  $\operatorname{curl}_{\mathbf{Z}} \stackrel{\uparrow}{\tau}_{\mathbf{S}} = 0$ , even inside the upwelling boundary layers.

The preceding theorems no longer hold in the upwelling zone when there is sloping topography or wind stress curl in the eastern ecean, or when a breakdown in the Sverdrup interior occurs just outside the eastern boundary layers. In such cases the northern and southern boundaries may play a significant role in the determination of the barotropic component of the longshore flow. This suggests the importance of determining the N-S pressure gradients at the western boundaries of the quasi-symmetric boundary conditions by an integral constraint on the total mass flux through the boundary. This has not been done for any of the solutions to be discussed and may be a deficiency in the solutions discussed in Section 4. This should not be a serious deficiency, since closed basin solutions on an f-plane with sloping topography in the eastern ocean (but no closed contours of topography) found by the author and by Suginohara (1072) about 14441a on no noleward undercurrent when driven tours of topography) found by the author and by Suginohara (1973) show little or no poleward undercurrent when driven from rest by a steady equatorward wind stress. A similar

result is obtained in most of the solutions discussed in Section 4. Suginohara does report that an undercurrent develops when the wind is shut off. (Recall Suginohara has found essentially an f-plane solution because the size of his basin is only 250×1550 km, not wide enough to develop a Sverdrup interior.)

It should be noted that when u, is subgeostrophic,  $\partial v_2/\partial t > 0$ ; when  $u_2$  is supergeostrophic,  $\partial v_2/\partial t < 0$  until a frictional balance develops. From (10) the onshore flow may become supergeostrophic in the presence of sloping topography, indicating that a bottom Ekman layer may be important in these regions. This suggests the possibility of a triple jet structure in the lower layer: a poleward undercurrent along the continental slope, equatorward flow closer to shore and possibly a narrow and weak poleward flow very near the coast as found by Hurlburt and Thompson (1973) in their sharp shelf case. A continuously stratified model could reveal this complicated structure better than a two layer model. As suggested by McNider and O'Brien (1973), it would be highly advantageous to allow the interfaces of layered models to intersect the sloping topography in order to reveal a poleward undercurrent along the continental slope.

Positive wind stress curl in the upwelling zone would enhance the poleward flow next to the coast as revealed in

enhance the poleward flow next to the coast as revealed in an experiment done by Hurlburt and Thompson (1973). Two experiments with positive wind stress curl in the upwelling

zone have been performed using the x-y-t two layer model in a closed basin on an f-plane. One experiment uses a flat bottom, the other y-independent sloping topography along the eastern boundary. In the flat bottom case the flow in the upwelling zone develops a strong, O(10 cm/sec) barotropic poleward component. The case with sloping topography develops a narrower and weaker barotropic poleward component. These results can be understood by re-examination of the parotropic y-momentum equation (32). The Coriolis term is weak near the coast in regions where the wind stress is y-independent, and as discussed earlier, the N-S pressure gradient exhibits only a second order change across the upwelling zone (whether f-plane or β-plane). Thus, positive wind stress curl in the eastern boundary regions favors poleward acceleration for the barotropic mode and negative wind stress curl and sloping topography favor equatorward acceleration. Note in a  $\beta$ -plane solution this wind stress curl itself would cause a second order change in the N-S pressure gradient across the eastern boundary layers.

## d. Effect of N-S sloping topography

The effect of N-S sloping topography in conjunction with an equatorward wind stress has been investigated in one experiment. The topography is x-independent, flat in the southern 1000 km, exhibits a slope of  $5 \times 10^{-5}$  in the central experiment. The topography is x-independent, flat in the southern 1000 km, exhibits a slope of  $5 \times 10^{-5}$  in the central 2000 km, and is flat again in the northern 1000 km. The

model uses an open basin on an f-plane with  $f = 4 \times 10^{-5} \text{ sec}^{-1}$ , and is driven from rest by the y-independent wind stress used for the case in Section 3a. The value of  $\beta$  simulated by the topography is given by

$$\beta_{\rm T} = \frac{f}{h} \frac{\partial h}{\partial y} , \qquad (33)$$

where h is the total depth. Over the sloping portion of the basin, it ranges from  $10^{-13}$  cm<sup>-1</sup> sec<sup>-1</sup> at the southern limit of the slope to  $2\times10^{-13}$  cm<sup>-1</sup> sec<sup>-1</sup> at the northern limit.  $L_{\rm X}$  = 3000 km and  $L_{\rm y}$  = 4000 km. Parameters not defined above are given in Table 2.

In six days of model time a well defined Sverdrup-like interior does not develop, but the barotropic mode is sufficiently reduced over the sloping topography that a poleward undercurrent with a maximum of 9 cm/sec develops in the upwelling zone by day 5. The poleward flow does not extend beyond the sloping region. Accounting for a difference in depth, a barotropic mode identical to that of Case 7 in Section 3c develops in the flat regions.

In this and in the preceding sub-section several different means for reducing or eliminating the barotropic mode with varying degrees of realism have been noted. This elimination could also be accomplished by using an open basin on an f-plane and specifying appropriate values for the N-S pressure gradients at the western boundaries of the quasi-symmetric boundary conditions. Also, the width of the basin required gradients at the western boundaries of the quasi-symmetric boundary conditions. Also, the width of the basin required could be reduced by using a large value of \( \beta \). All the techniques mentioned which eliminate the barotropic mode in

the eastern ocean would yield similar solutions in the upwelling zone. Those which allow the use of smaller basin widths might reduce the computing cost. However, the use of artificial devices to eliminate the barotropic mode was not considered necessary or desirable in the present study, since they might tend to obscure some of the physics of the ocean problem.

## e. Effects of coastline orientation

Solutions were obtained for three experiments identical to the one described in Section 3a except for the orientation of the coastline. The orientation of the coastlines parallel to the direction of the wind stress are NE-SW, SE-NW, and E-W. Except for the details of the wave solutions, the results for the NE-SW and SE-NW coastline are almost identical to the solution with a N-S coastline described in Section 3a. Provided a Sverdrup interior is able to develop, this is to be expected, since the primary effect of rotating the coastline (plus the coordinate system and the direction of the wind stress with it), is to change the value of  $\beta_{\gamma}$ acting to produce a pressure gradient in the direction of the wind stress. In the rotated coordinate system  $\beta_{V} = \frac{\partial f}{\partial V}$ ,  $\beta_{x} = \frac{\partial f}{\partial x}$ , and both may be non-zero. As noted earlier for a wind stress parallel to a meridional coast the magnitude of the pressure gradient in the direction of the wind stress wind stress parallel to a meridional coast the magnitude of the pressure gradient in the direction of the wind stress associated with a Sverdrup interior is essentially independent of the value of  $\beta$ .

For a longshore-independent wind stress parallel to a zonal (y-coordinate) coastline, the Sverdrup vorticity constraint, (30), acts on the barotropic flow normal to the coast and the value of  $\beta_y$  acting to produce a longshore pressure gradient is zero. Hence, except for some of the oscillations, the coastal solution is similar to the f-plane solution of Case 7 in Section 3c. It is also in agreement with the two-dimensional solution for a zonal wind along a zonal coastline found by Kindle and O'Brien (1974), using a two-layer model. From their study Kindle and O'Brien concluded that a counter-undercurrent is unlikely along a zonal coast, but as noted earlier, the distribution of the wind stress and the proximity of boundaries may reduce the longshore barotropic mode sufficiently to permit the development of at least a weak counter-undercurrent.

### 4. EFFECTS OF LONGSHORE VARIATIONS IN BOTTOM TOPOGRAPHY

In this section we investigate the effects of longshore variations in bottom topography on the time-dependent wind-driven eastern ocean circulation. Of special interest are the effects of the topography on the pattern of vertical motion and the associated baroclinic coastal jet. To the author's knowledge this particular time-dependent problem has not been subject to previous theoretical investigation. However, we may obtain some theoretical guidance from effects of topography on large scale uniform flows in the atmosphere and ocean. A priori, three dynamical concepts would appear to be especially helpful: conservation of potential vorticity, the equivalent β effect produced by sloping topography, and the joint effect of baroclinicity and bottom topography. The first suggests we should expect the flow to follow the Recently, the third has been shown important in the steady state large scale ocean circulation by Sarkisyan (1969) and Sarkisyan and Ivanov (1971).

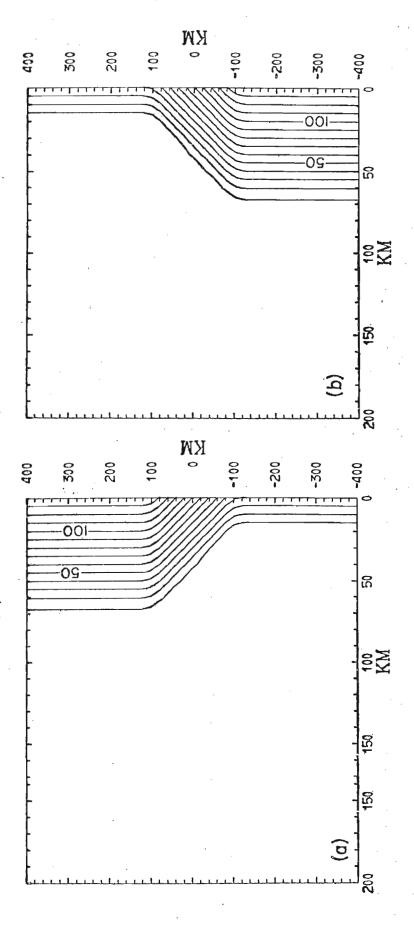
Rather than perform a detailed analysis of one solution where undue emphasis might be placed on dynamics relevant to a small class of problems, we will survey a number
of solutions in Sections 4 and 5, attempting to identify
vant to a small class of problems, we will survey a number
of solutions in Sections 4 and 5, attempting to identify
common characteristics and the important dynamical principles

involved in the time-dependent problem. This approach seems particularly appropriate in view of the meager literature (especially on time-dependent flows) from which we are able to draw support.

# a. Effects of a wedge-shaped continental slope

The simplest interesting longshore topographic variation is a wedge-shaped continental slope. The effects of such topography have been investigated by means of two case studies with topography shown in Fig. 3. The wind stress is like that shown in Fig. 1, but with the half amplitude point 3150 km from the eastern boundary.  $f_0 = 8.2 \times 10^{-5} \text{ sec}^{-1}$ ,  $\Delta x = 2.5 \text{ to}$  300 km,  $\Delta y = 20 \text{ km}$ , and  $\Delta t = 5 \text{ min}$ . Other parameters are given in the caption of Fig. 3 and in Table 2. The topographic value of  $\beta_T$ , determined from (33), is (2.2 to 7.7)×10<sup>-12</sup> cm<sup>-1</sup> sec<sup>-1</sup> for Case a and -(2.3 to 7.3)×10<sup>-12</sup> cm<sup>-1</sup> sec<sup>-1</sup> for Case b, an order of magnitude greater than that due to the earth's sphericity.

The salient features of the solutions are shown in Figs. 4 and 5. Fig. 4 shows  $v_2$  at day 6 for Cases a and b. Note that the poleward undercurrent is well developed over the sloping topography where  $\beta_T > 0$ , but does not exist over the sloping topography where  $\beta_T < 0$ . Fig. 5 shows the vertically integrated x and y transport components for Cases a cally integrated x and y transport components for Cases a and b. This figure dramatically demonstrates that streamlines of the flow do not parallel the isobaths. Note also



reference level in m for two cases with a wedge-shaped continental slope. The E-W continental slope is  $2\times10^{-3}$  and the magnitude of the N-S slope is  $5\times10^{-4}$ . The total

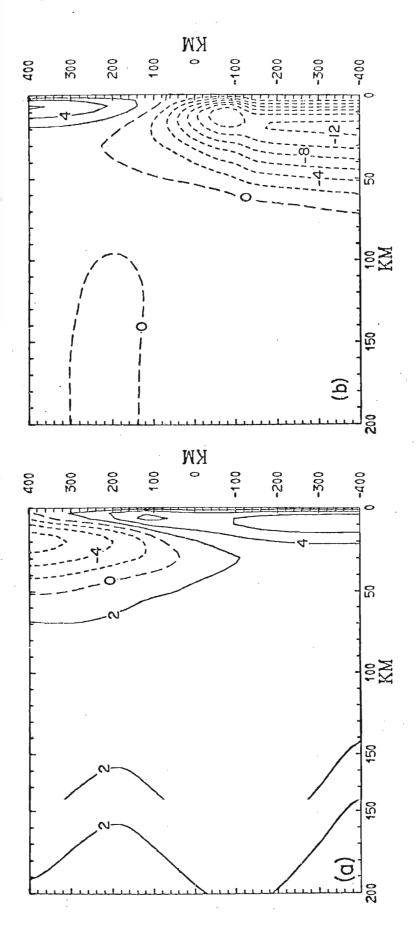


Fig. 4.  $v_2$  (cm sec<sup>-1</sup>) at day 6 for Cases a and b. Note that the undercurrent in Case a extends through the region of N-S sloping topography, whereas in Case b it does not.

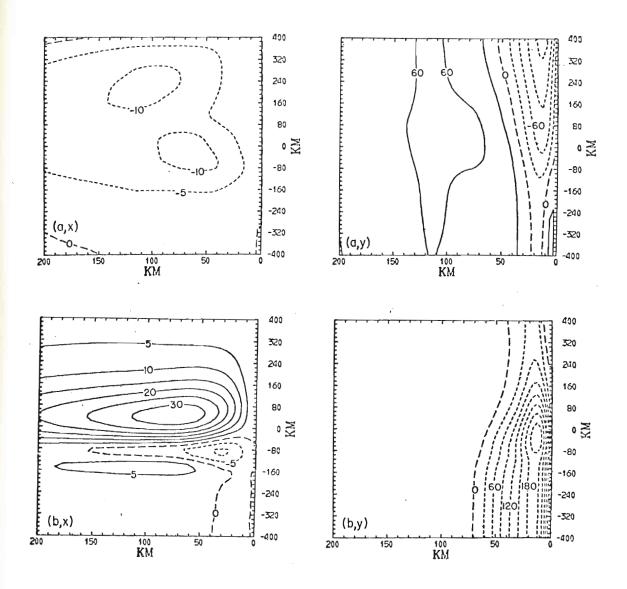


Fig. 5. The vertically-integrated x and y components of momentum in gm cm $^{-1}$  sec $^{-1}$  × 103 at day 6 for Cases a and b. Note that streamlines of the flow would not parallel the isobaths.

that the combination of  $\tau_{\rm sy}$  < 0 and E-W sloping topography causes potential vorticity to decrease following a fluid column, predicting a fluid column over the slope should move toward deeper water. In Case a, flow following the isobaths would give an onshore transport over the N-S sloping topography with a maximum of about 20000 gm cm<sup>-1</sup> sec<sup>-1</sup>; in Case b it would give offshore flow about -50000 gm cm<sup>-1</sup> sec<sup>-1</sup>. Furthermore, the character of the x-component differs greatly between the two cases. In Case b there is an onshore component with a maximum of about 35000 gm cm<sup>-1</sup> sec<sup>-1</sup> (1.7 cm sec<sup>-1</sup>) with an E-W and N-S extent well beyond the limits of the sloping topography. The scale in both directions appears to be governed by the barotropic radius of deformation:

$$\lambda_{\rm E} = \frac{[g(h_1 + h_2)]^{1/2}}{f}$$
 (34)

The effect of the topography on the baroclinic mode (not shown) is less dramatic. The longshore component of this mode is weaker over the higher topography and stronger over the lower. The reason for this is explained in detail by Hurlburt and Thompson (1973). Because the baroclinic longshore flow is nearly geostrophic, its horizontal structure can be explained by the pattern of vertical motion. In brief, the upwelling at the coast over the lower topography is stronger than over the higher topography because in the in brief, the upwelling at the coast over the lower topography is stronger than over the higher topography because in the latter case greater upwelling occurs farther off shore induced by the sloping topography. This pattern of vertical

motion can be explained by conservation of potential vorticity in the upper layer. As required by mass continuity, the total vertical transport in the upwelling region is independent of the topography.

We will investigate the dynamics of the vertically integrated transports by means of the following barotropic equations:

$$\frac{\partial^2 \mathbf{v}}{\partial \mathbf{x} \partial \mathbf{t}} + \mathbf{f} \left( \frac{\partial \mathbf{u}}{\partial \mathbf{x}} + \frac{\partial \mathbf{v}}{\partial \mathbf{y}} \right) + \beta \mathbf{v} = \frac{1}{\rho \mathbf{h}} \frac{\partial \tau_{\mathbf{s} \mathbf{y}}}{\partial \mathbf{x}} - \frac{\tau_{\mathbf{s} \mathbf{y}}}{\rho \mathbf{h}^2} \frac{\partial \mathbf{h}}{\partial \mathbf{x}}$$
(35)

$$\frac{1}{h} \left( \frac{\partial h}{\partial t} + u \frac{\partial h}{\partial x} + v \frac{\partial h}{\partial y} \right) = - \left( \frac{\partial u}{\partial x} + \frac{\partial v}{\partial y} \right)$$
(36)

Note that the vorticity equation, (35), has been derived by assuming geostrophy for the longshore flow, and by neglecting advection and friction, except for the wind stress in the y-momentum equation. The advective terms in the continuity equation, (36), must be retained because of the sloping topography. Substitution of (36) into (35) shows that the N-S sloping topography yields a term analogous to the planetary yorticity advection with  $\beta_{\rm T}$  given by (33). Before we examine the implications of this analogy more closely, let us assume the analogy is exact and see what it implies about the solution.

We noted earlier, (6), that  $\beta$  has an important effect on the N-S pressure gradient, but that for planetary values on the N-S pressure gradient, but that for planetary values of  $\beta$  large scales, 0(1000 km), are necessary for this effect to be important. However, the much larger values of  $\beta_{T}$  may

be important on the mesoscale, 0(100 km). We also noted that E-W sloping topography normally leads to supergeostrophic onshore flow in the lower layer and a barotropic acceleration in the direction of the wind stress. Thus, with  $\beta_{\phi}>0$ we might expect  $\mathbf{v}_{2}$  to exhibit equatorward flow over the western portion of the E-W and N-S sloping topography, but a poleward current might be expected closer to shore as observed in Fig. 4a. For  $\beta_{rr}$  < 0 we would expect  $v_2$  to exhibit stronger equatorward flow over the E-W and N-S sloping topography than if there were no N-S slope. Again this is observed in Fig. We would also expect the v-component of the verticallyintegrated flow to exhibit similar characteristics, although perhaps not the nearshore poleward flow. This is verified in Figs. 5a,y; b,y. The patterns for the u-component (Figs. 5a,x; b,x) are consistent with the requirements of mass continuity for the longshore flow. Not surprisingly, the fundamental scale governing this time-dependent flow appears to be the barotropic radius of deformation. Effects of the topography on the baroclinic u-component (not shown) are obscured by inertial oscillations, and in this case no attempt has been made to filter out these oscillations.

The concept of the topographically-induced β effect has proved very successful at explaining the observed features of the flow, but before continuing to more compli-

features of the flow, but before continuing to more complicated topography, let us re-examine the implications of this analogy in terms of (35) and (36). Recall that derivation

of the relation between planetary vorticity advection and the N-S pressure gradient requires integration of (35) with respect to x. This tells us that for the topographic  $\beta$  analogy to be complete, we must have

$$\frac{\mathbf{v}}{\mathbf{h}} \frac{\partial \mathbf{h}}{\partial \mathbf{y}} \simeq -\frac{\partial \mathbf{v}}{\partial \mathbf{y}}$$
 (37)

Eq. (37) is a constraint on the longshore horizontal divergence by N-S sloping topography. As we have seen from (31),  $f(\partial v/\partial y)$  is potentially an important contributing factor to the N-S pressure gradient in some situations, and it is in this way that the topographic  $\beta$  analogy may be completed. By converting to velocities, taking into account the local depth, we can see that the longshore components in Fig. 5 are in good agreement with (37).

### b. Effects of a mesoscale canyon

To investigate additional effects of topography, a case with topography resembling a mesoscale canyon-like feature off the Oregon coast (Fig. 6), was driven by an equatorward wind stress like that used for the cases discussed in Section 4a. The wind was shut off at day 5. H<sub>1</sub> = 30 m,  $\Delta x$  = 2.5 to 300 km,  $\Delta y$  = 10.7 to 56 km and  $\Delta t$  = 3 min. Other parameters are given in the caption of Fig. 6 and in Table 2. The topographic depression exhibits a significant and complex effect on the flow, the salient and in Table 2. The topographic depression exhibits a significant and complex effect on the flow, the salient characteristics of which will be described in comparison to a solution for a y-independent continental slope, i.e., in

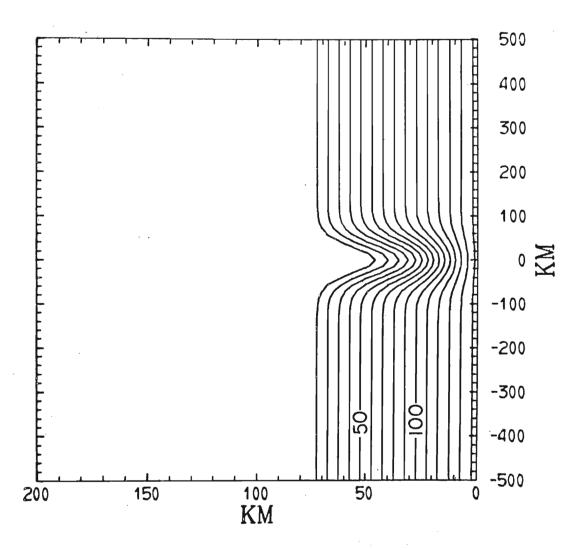


Fig. 6. Height of the bottom topography above a reference level in m for a case with topography resembling a canyon-like feature off the Oregon coast. The E-W continental slope is  $2\times10^{-3}$ . The N-S and E-W profiles of the canyon are governed by a normal probability density function. The standard deviation for the N-S profile is 35 km with a maximum amplitude of 55 m 40 km off shore and zero amplitude at the coast. The total model region is  $6000\times1000$  km.

maximum amplitude of 70 m 40 km off shore and zero amplitude at the coast. The total model region is 6000×1000 km.

terms of canyon minus no canyon. The subtraction of these two solutions not only clearly defines the effects of the depression, but also filters out most inertial oscillations, Rossby waves, and gravity waves which might otherwise obscure some effects of the canyon.

The solution in terms of canyon minus no canyon is shown in Figs. 7-9. The baroclinic transports are the demparture from the barotropic transport in each layer. Both the maximum residual barotropic and baroclinic transports correspond to maximum speeds of about 1 cm sec<sup>-1</sup> for the flow normal to the coast and 4 cm sec<sup>-1</sup> for the longshore flow. Thus, the velocity normal to the coast induced by the canyon is the same order as the subsurface flow required to compensate the Ekman drift. At day 5 the total longshore flow consists of a baroclinic component with a maximum speed of approximately 30 cm sec<sup>-1</sup> and an equatorward barotropic component with a maximum speed of 12.5 cm sec<sup>-1</sup>. No poleward undercurrent formed in the course of the integration.

At both days 5 and 10 the lower layer transports look much like the barotropic transport. Thus, only the upper layer transports are shown in Fig. 8. At day 5 the residual (i.e., canyon minus no canyon) circulation (Fig. 7a) exhibits a highly asymmetric two-cell pattern divided along the axis of the canyon in both the barotropic and lower layer transformed and the canyon in both the barotropic and lower layer transformed. The larger scale upwind cyclonic cell (centered at 95, 60) is barotropic and its scale appears to be influenced

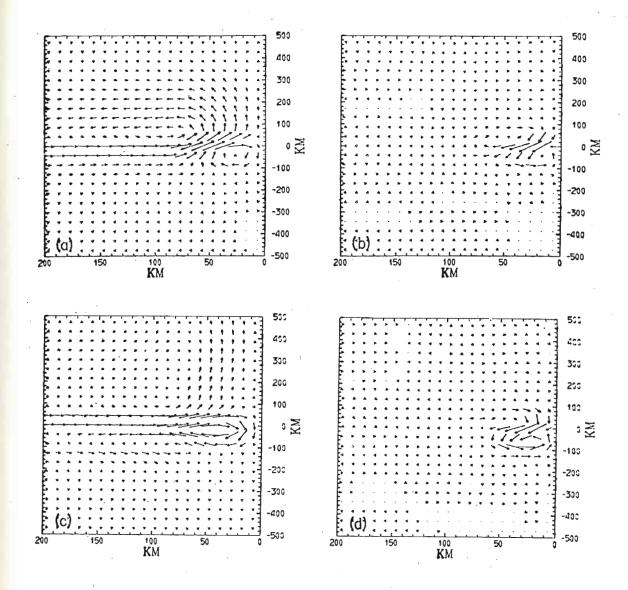


Fig. 7. Barotropic (a,c) and baroclinic (b,d) transports at days 5 (a,b) and 10 (c,d) in terms of canyon minus no canyon. The direction of the arrows for the baroclinic transport is for the upper layer. The length of the arrows is scaled by the longest arrow on each frame. The wind was shut off at day 5. The arrows define streamlines, not geographical direction in this and the other vector plots, i.e., the x-component is multiplied by 1000/200 = 5.

plots, i.e., the x-component is multiplied by 1000/200 = 5.

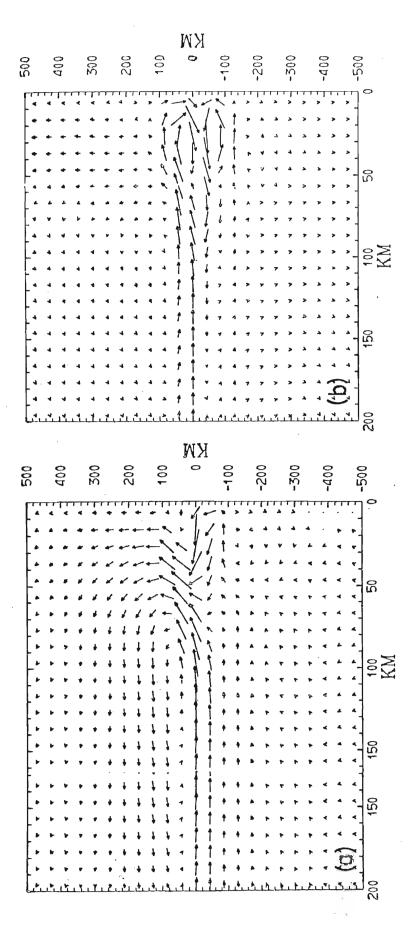
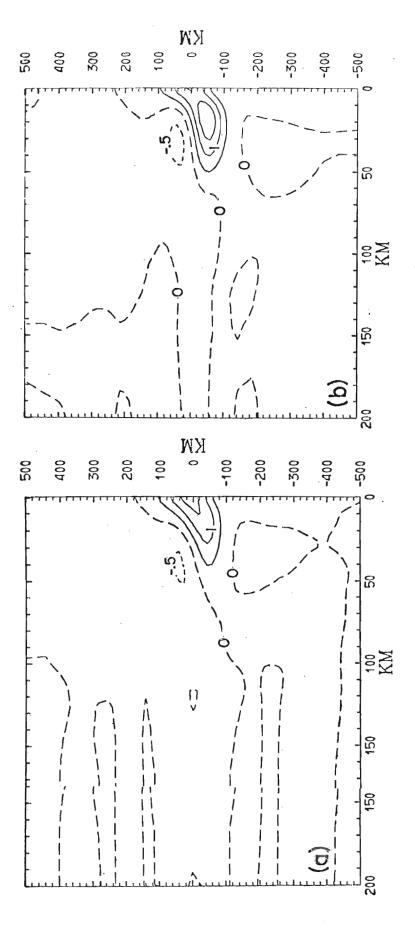


Fig. 8. Residual upper-layer transports at days 5(a) and 10(b). The length of the arrows is scaled by the longest arrow on each frame in this and other figures. The lower layer transports are much like the barotropic trans-The wind was shut off at day ports.



Residual pycnocline height anomaly in m at 1(b). Note the upwelling is decreased on if the canyon and is enhanced on the south side and near the coast on the axis Fig. 9. Residdays 5(a) and 10(b). the north side of the

primarily by the barotropic radius of deformation and secondarily by the scale of the canyon. The smaller scale downwind cell is cyclonic in the upper layer (Fig. 8a) and anticyclonic in the lower layer (Fig. 7a). Its scale appears to be governed by the scale of the canyon. The barotropic contribution is centered at -x = 30 km, y = -30 km (Fig. 7a). The baroclinic contribution (centered at 15, -50) dominates in the upper layer and is cyclonic there (Fig. 7b). There is also a weaker baroclinic cell anticyclonic in the upper layer north of the canyon axis centered at 45, 50.

By day 10 (after the winds have been shut off for 5 days) the large scale, barotropic, cyclonic cell (now centered at 85, 220) has propagated northward at a speed of 40 cm sec<sup>-1</sup> and become much weaker. The strength of the smaller scale barotropic anticyclonic cell (now centered at 45, -25) is virtually unchanged (Fig. 7c). The southern baroclinic cell (now centered at 20, -60) has intensified (Fig. 7d) and combined with the barotropic cell produces the remarkable two-cell pattern in the upper layer (Fig. 8b) with a col centered over the single anticyclonic cell in the lower layer (Fig. 7c).

Fig. 9 shows the residual pycnocline height anomaly after 5 (Fig. 9a) and 10 (Fig. 9b) days. The upwelling is decreased on the north side of the canyon and enhanced on

decreased on the north side of the canyon and enhanced on the south side and near the coast on the axis of the canyon. The pattern for the baroclinic component in the upper layer

is similar to the pattern for  $\vec{V}_1 - \vec{V}_2$ . Note that the residual patterns for the baroclinic component (Fig. 7b,d) are in general geostrophic agreement with the residual patterns of the pycnocline height anomaly. The enhanced upwelling near the coast can be explained by the steeper E-W slope in this region and conservation of potential vorticity in the upper layer (e.g., see Hurlburt and Thompson, 1973 and Section 4a). The N-S pattern of the upwelling is inconsistent with conservation of potential vorticity in the upper layer:

$$\frac{\partial v_1}{\partial x} - \frac{\partial u_1}{\partial y} = f\left(\frac{h_1 - H_1}{h_1}\right) \tag{38}$$

However, it is consistent with the topographic β effect discussed in Section 4a, which requires a stronger N-S pressure gradient north and a weaker N-S pressure gradient south of the canyon axis. The barotropic poleward residual north and equatorward residual south of the canyon axis (Fig. 7a) are also consistent with the topographic β effect. The divergent nature of these two components and mass continuity require the barotropic onshore flow on the axis of the canyon. The broad outflow for both branches occurs to the NW of the canyon. The residual circulation centered 30 km SSE of the center of the canyon appears to be a distorted attempt by the flow to follow contours of canyon minus no canyon. This attempt improves by day 10 (after the winds have been shut off for 5 days) when the large

circulation cell has propagated north and decreased in

strength, and the smaller cell is centered 25 km SSW of the center of the canyon. The residual cellular structure appears wave-like in the total barotropic flow shown in Fig. 10, and the distortion in the attempt of the nearshore flow to follow the contours of topography by the larger scale wave is clearly evident.

### c. Effects of a mesoscale ridge

A case with the ridge topography shown in Fig. 11 was integrated for comparison with the effects of the canyon. Except for the topography all the model parameters are the same as for the canyon. Except in the western part, contours of canyon minus no canyon are the negative of ridge minus no ridge. Since the dynamical principles involved are similar to those applicable in the cases discussed in Sections 4a and 4b, only a brief description of the solution for a ridge will be given.

Fig. 12 shows the barotropic residual (ridge minus no ridge) transport at day 5. In this case the cell (centered at 40, -15) following contours of ridge minus no ridge dominates the flow. A circulation with an E-W scale which appears to be governed by the barotropic radius of deformation, (34), still exists, but the dominant N-S scale is the scale of the ridge, a characteristic which occurred only at day 10 (after the winds had been shut off 5 days) is the scale of the ridge, a characteristic which occurred only at day 10 (after the winds had been shut off 5 days) in the case of the canyon. An anticyclonic cell with a N-S

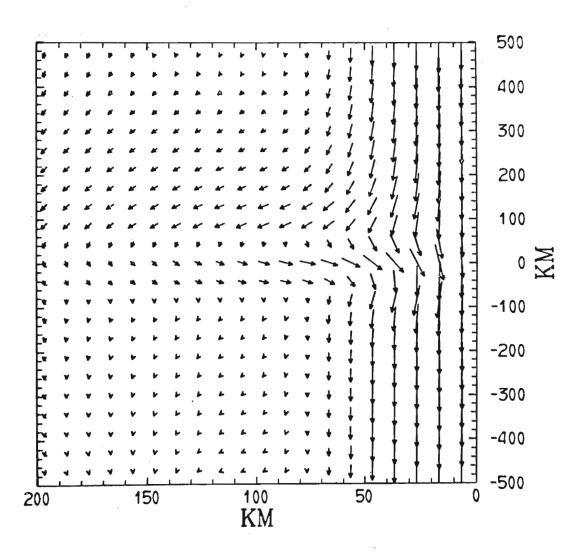


Fig. 10. Total barotropic transport at day 2.25. Day 2.25 was chosen because it is least contaminated by Rossby waves.

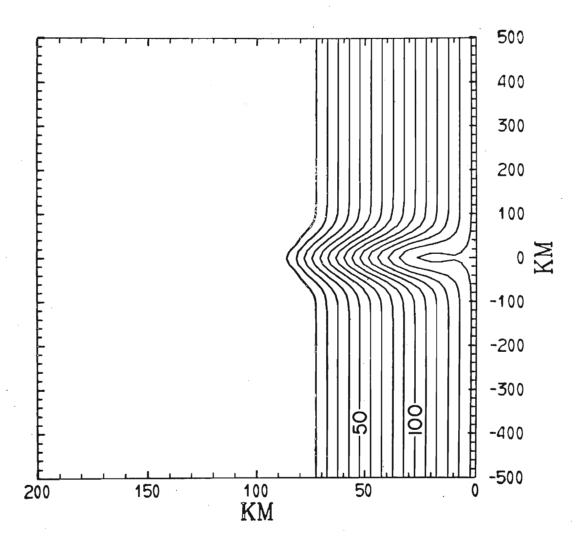


Fig. 11. Height of the bottom topography above a reference level in m for a case with a ridge superimposed on a continental slope. The E-W continental slope is  $2\times10^{-3}$ . The N-S and E-W profiles of the ridge are governed by a normal probability density function. The standard deviation for the N-S profile is 35 km with a maximum amplitude of 55 m 40 km off shore and zero amplitude at the coast. Contours of canyon minus no canyon are the negative of ridge minus no ridge except in the western portion.

tude of 55 m 40 km off shore and zero amplitude at the coast. Contours of canyon minus no canyon are the negative of ridge minus no ridge except in the western portion.

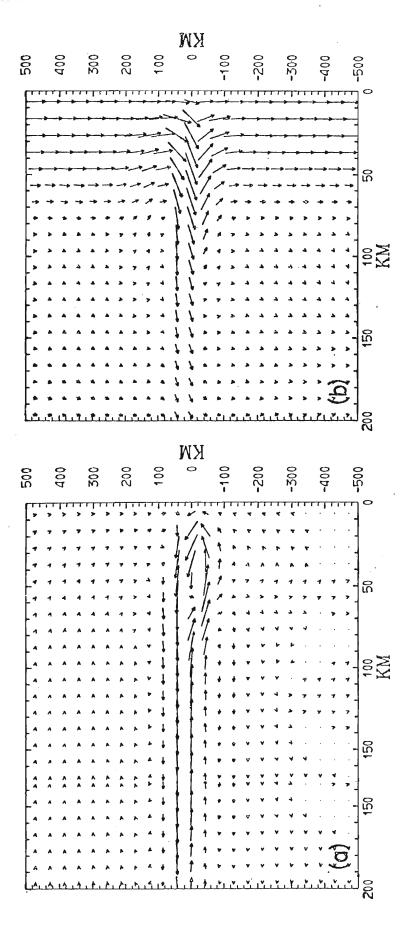


Fig.12. (a) Residual (ridge minus no ridge) barotropic transport at day 5. (b) Total barotropic transport at day 2.25. Day 2.25 was chosen because it is least contaminated by Rossby waves.

extent which appears to be governed by the barotropic radius of deformation does exist, but it is very weak.

For comparison, Fig. 12b shows the total barotropic transport. This time the part of the wave north of the ridge is weak and the part at the latitude of the ridge is strong and extends well beyond the ridge. This is opposite the canyon case. Quite striking in all the solutions with longshore variations in topography is the lack of noticeable wave phenomena associated with the topographic perturbations except for the single cycle wave—like feature shown in Figs. 10 and 12b, and the northward propagation, after the wind has been turned off, of the large scale barotropic cell in the residual flow north of the canyon.

Fig. 13a shows the residual pycnocline height anomaly at day 5 with enhanced upwelling north and decreased upwelling south of the ridge. The regions of greatest pycnocline anomaly are confined to the region of the barotropic equatorward current, although the ridge extends well beyond the current. The dynamics of the anomalies are consistent with those of the cases discussed in Sections 4a and 4b. Note that the residual of  $\vec{V}_1 - \vec{V}_2$  (Fig. 13b) is in excellent geostrophic agreement with the residual pycnocline height anomaly.

The basic flows are the same as for the canyon, but anomaly.

The basic flows are the same as for the canyon, but the residual flows are stronger, up to 4 cm  $\sec^{-1}$  normal to the coast and 7 cm  $\sec^{-1}$  longshore. No poleward undercurrent

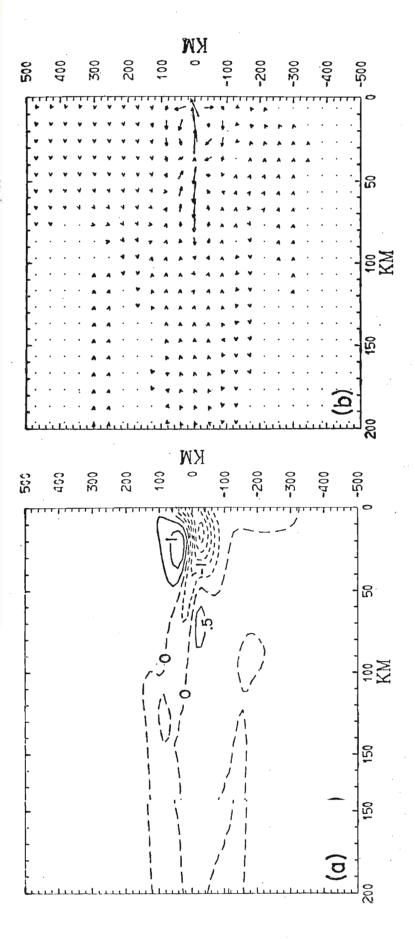


Fig. 13. Residual pycnocline height anomaly (a) and the residual of  $\vec{V}_1-\vec{V}_2$  (b) at day 5. Note that the patterns are in excellent geostrophic agreement.

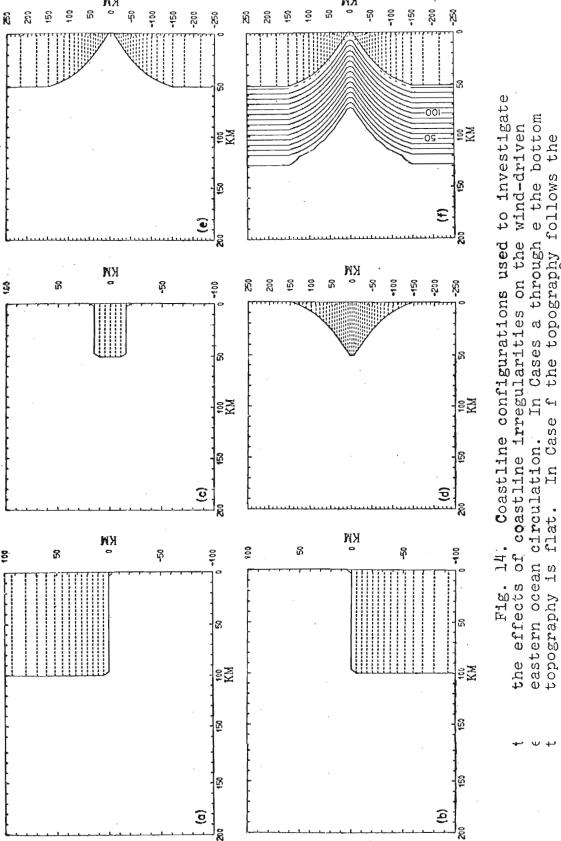
forms in the course of the integration except in a region within 10 km of the coast with a longshore extent of 100 km on the south side of the ridge. The maximum poleward flow is about 3 cm  $\sec^{-1}$  at day 5.

## 5. EFFECTS OF COASTLINE GEOMETRY

The effects of coastline geometry have been investigated by means of case studies utilizing the coastline configurations shown in Fig. 14. The model was driven from rest or from the quasi-balanced initial state described in Section 2c by the equatorward, y-independent wind stress shown in Fig. 1. All six cases were integrated twice, once from each initial state. In Cases a and b  $\Delta x = 4$  to 300 km; in Cases c through f  $\Delta x = 3$  to 300 km.  $\Delta x$  versus x for Cases a and b is given in Table 1. In Cases d, e, and f  $\Delta y = 5$  to 60 km, and  $L_y = 1000$  km. The E-W dashed lines over the land in Fig. 14 and other figures in this section indicate grid rows in y. In all six cases  $\Delta t = 1.5$  min. The other model parameters are given in Table 2 except that in Case f,  $H_1 = 30$  m.

## a. Effects of a corner

Since it was feasible to resolve the baroclinic radius of deformation along a section of zonal coastline by using stretch coordinates in the y-direction, Cases a and b were chosen as best able to clearly depict the effects of coastline geometry. Some interesting very small scale (< 10 km) nonlinear effects are not resolved by the 4 by 5 km coastline geometry.



topography is flat. In Case f the topography follows the coastline with a uniform E-W slope of  $2\times10^{-3}$ . The topography rises to 155 m above the reference level at the coast.

Of principal interest are the patterns of horizontal and vertical motion near the corners and along the section of zonal coastline where the wind stress is normal to the The two-dimensional (longshore-independent) problem demonstrates that a steady wind stress normal to the coast drives vertical motion on the  $f^{-1}$  time scale, and thus produces little vertical transport. The associated longshore currents are more than an order of magnitude weaker than those associated with longshore winds. Hence, the manner in which the barotropic and baroclinic modes of the coastal currents conserve mass is of key interest. If the baroclinic mode conserved mass in a vertical plane, then for Case a and an equatorward wind stress we should expect enhanced upwelling near the eastern end of the zonal segment and decreased upwelling near the western end. If the baroclinic mode conserves mass in a horizontal plane, then geostrophic balance of this component implies strong upwelling not driven directly by the wind along the E-W segment of the coastline. That is, horizontal conservation means currents along the two meridional segments of coastline are connected by currents along the zonal segment; conservation in a vertical plane implies the absence of connecting currents along the zonal segment. Other items of interest include possible formation of flow instabilities and eddies, possible boundary layer separation of of interest include possible formation of flow instabilities and eddies, possible boundary layer separation of zonal current segments, and the occurrence of large

amplitude wave phenomena. The latter were notably absent in the presence of longshore variations in bottom topography.

Fig. 15a,b shows the pycnocline height anomaly for Case a at day 5. The solution in Fig. 15a was driven from rest, the one in Fig. 15b from the quasi-balanced initial state. The low frequency part of the solutions from the two initial states are virtually identical, but the numerical solutions demonstrate that the quasi-balanced initial state reduces the amplitude of the inertial oscillations, Rossby waves, and gravity waves by up to three orders of magnitude.

More important, however, Fig. 15 shows that strong upwelling occurs along the zonal section of the coast. This upwelling cannot be driven directly by the wind, which is offshore along this segment. Fig. 15 also shows that the upwelling is greater at the eastern end of the segment than at the western end. Fig. 16 shows that the equatorward surface jet and poleward undercurrent follow the coastline consistent with Fig. 15 and geostrophic balance.

The basic dynamics of the baroclinic mode can be understood by examination of the following reduced gravity model:

$$\frac{\partial u_1}{\partial t} - f v_1 = -g' \frac{\partial h_1}{\partial x}$$
 (39)

$$\frac{\partial v_1}{\partial t} + f u_1 = -g' \frac{\partial h_1}{\partial y} + \frac{\tau_{sy}}{\rho h_1}$$
 (40)

$$\frac{\partial v_1}{\partial t} + f u_1 = -g' \frac{\partial u_1}{\partial y} + \frac{v_s y}{\rho h_1}$$
 (40)

$$\frac{\partial h_1}{\partial t} + h_1 \left( \frac{\partial u_1}{\partial x} + \frac{\partial v_1}{\partial y} \right) = 0 \tag{41}$$

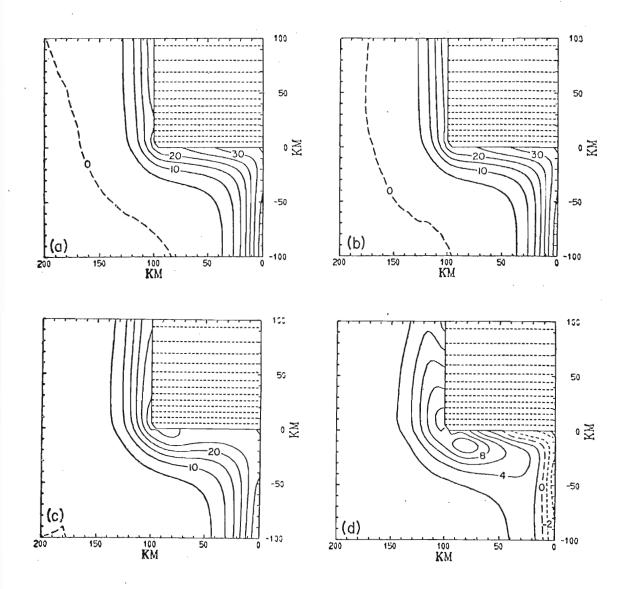
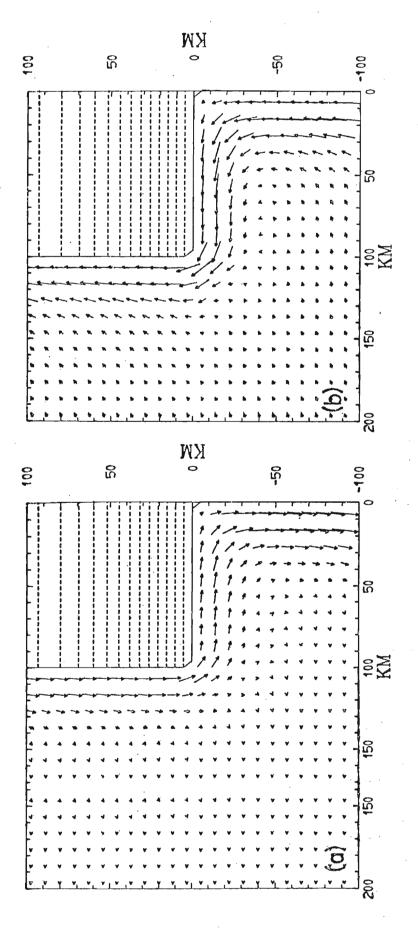


Fig. 15. Pycnocline height anomaly in m at day 5 for a solution driven from rest (a) and from a quasibalanced state (b). The pycnocline anomaly at day 10 (c) was driven from the quasi-balanced state, but the wind was shut off at day 5. (d) is the pycnocline height anomaly at day 10 minus that at day 5.



Upper layer velocity (a) and lower layer Case a at day 5. Note that the currents and are oppositely directed. Fig. 16. velocity (b) for follow the coast

where  $u_2 = v_2 = 0$ , and  $h_2 = \infty$ . The necessity for the zonal current segment can be demonstrated by considering mass continuity of the coastal jets in a y-z plane. Since  $v_1 \neq 0$  near the eastern end of the zonal segment to satisfy the kinematic boundary condition, mass continuity in a y-z plane would require  $\partial v_1/\partial y > 0$  and  $\partial u_1/\partial x > 0$  near this corner. Thus, both (40) and (41) would demand greatly enhanced upwelling near the eastern end of the zonal segment. However, geostrophic balance in (39) is not possible in this region. Hence,  $\partial u_1/\partial t > 0$  and a zonal current segment must be present. Note that if  $\tau_{sy} \neq 0$  at the zonal segment on a scale greater than the baroclinic radius of deformation, the zonal current segment is no longer required.

Although we have demonstrated the necessity for a zonal current segment, the enhanced upwelling at the eastern end of the segment and reduced upwelling at the western end show that a vertical circulation is present. Thus, the flow required by mass continuity is partitioned between the horizontal and vertical circulations. The westward decrease of the upwelling along the zonal segment also shows that the E-W extent of any zonal current must be limited, and would not extend the length of a long, O(1000 km) or more, zonal coastline segment.

Fig. 15c,d and Fig. 17 demonstrate the importance of walvin wave dynamics in the presence of coastline irregu-

Fig. 15c,d and Fig. 17 demonstrate the importance of Kelvin wave dynamics in the presence of coastline irregularities. Fig. 17a,b shows the northward propagation (at

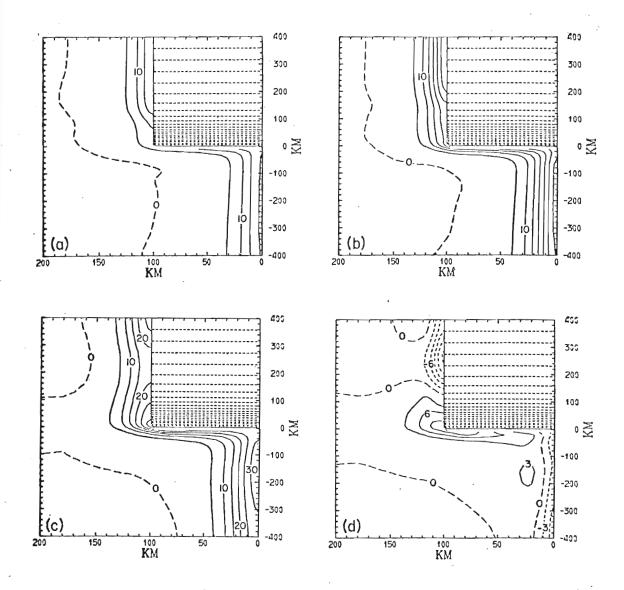


Fig. 17. Pycnocline height anomaly in m for the entire N-S extent of the model region at day 3 (a), 5 (b), and 10 (c). The wind was shut off at day 5. (d) is the pycnocline anomaly at day 10 minus that at day 5. This figure clearly illustrates the importance of Kelvin wave dynamics in the solution.

about 55 cm  $\sec^{-1}$ ) of the region of reduced upwelling near the western end of the zonal segment. This occurs whether the model is started from rest or from the quasi-balanced initial state. However, the weak waves which trail the main front when the model is driven from rest are absent when it is driven from the quasi-balanced state. Fig. 17c clearly shows a weak spurious Kelvin wave near y = -300 km excited by the southern boundary conditions at  $f = 4 \times 10^{-5}$   $\sec^{-1}$ . Southern boundaries at higher latitudes would generate weaker Kelvin waves.

The wind was shut off at day 5, thus removing the constraint positioning the enhanced upwelling at the eastern end of the zonal segment and the reduced upwelling at the western end. Fig. 15c,d and Fig. 17c,d show that these regions have propagated along the coast. This has actually lead to significant upwelling at the western end of the zonal section of coastline after the wind was shut off (Figs. 15d and 17d). Fig. 18 shows that the baroclinic mode tends to separate from the coast after the wind has been shut off.

Fig. 19a shows the pycnocline height anomaly at day 5 for Case b. The pattern can be explained in a manner similar to that for Case a, but this time the enhanced upwelling occurs at the western end of the zonal segment and reduced occurs at the western end of the zonal segment and reduced upwelling occurs at the western end of the zonal segment and reduced upwelling occurs at the eastern end. The 7 m difference in the height anomaly between Cases a and b at the center of

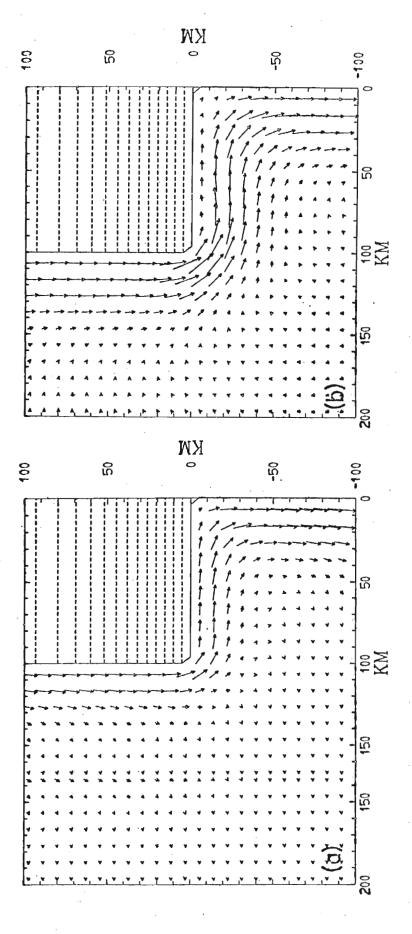


Fig. 18.  $\vec{V}_1 - \vec{V}_2$  at day 5 (a) and day 10 (b) for Case a. The wind was shut off at day 5. Note that by day 10 the current has broadened and along the zonal segment has separated from the coast.

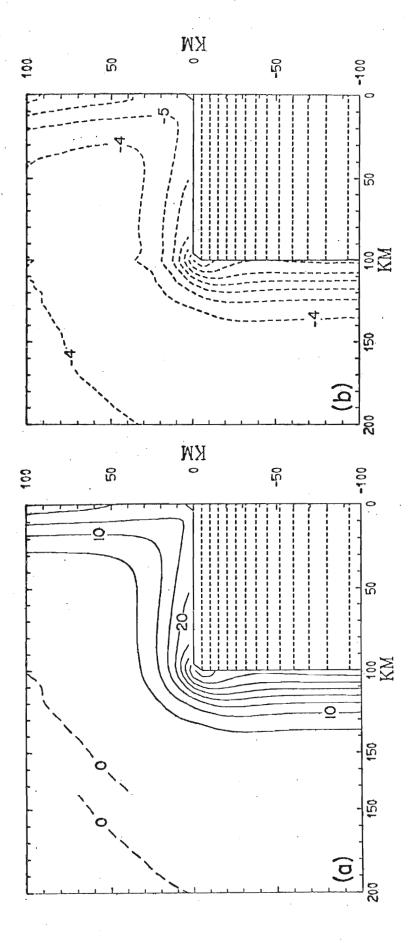


Fig. 19. Pycnocline height anomaly in m (a) and free surface anomaly in cm (b) for Case b at day 5.

the zonal segment reflects the direct (3.5 m) effect of the wind stress normal to the coast. In the case of a cape and an equatorward wind stress this would lead to only slightly enhanced upwelling on the south side of the cape and must not be considered a significant factor. The propagating Kelvin waves produce greater effects.

The pattern of the free surface anomaly for Case b at day 5 (Fig. 19b) is almost the same as for the pycnocline anomaly, indicating the overwhelming dominance of the baroclinic mode in the solution. Fig. 20a,b shows the barotropic mode for Cases a and b. The most notable features are the cyclonic eddies adjacent to the zonal segments. Note also the double current structure parallel to the meridional segments and the westward currents which extend beyond the zonal coastline. The maximum velocity associated with the barotropic mode in Fig. 20 is 3 cm sec<sup>-1</sup>. Recall Hurlburt and Thompson (1973) have shown that for the case with a Sverdrup interior, a meridional coastline, flat topography, and no wind stress curl in the upwelling zone, the net longshore transport must nearly vanish point by point across the upwelling zone. They also explain the nonlinear dynamics of the weak barotropic equatorward current observed about 25 km off shore in Fig. 20. Bottom friction and nonlinearities, especially the nonlinearity due to the denominator of the wind stress foreing function are responsible for the baroespecially the nonlinearity due to the denominator of the wind stress forcing function, are responsible for the barotropic mode in the case just described.

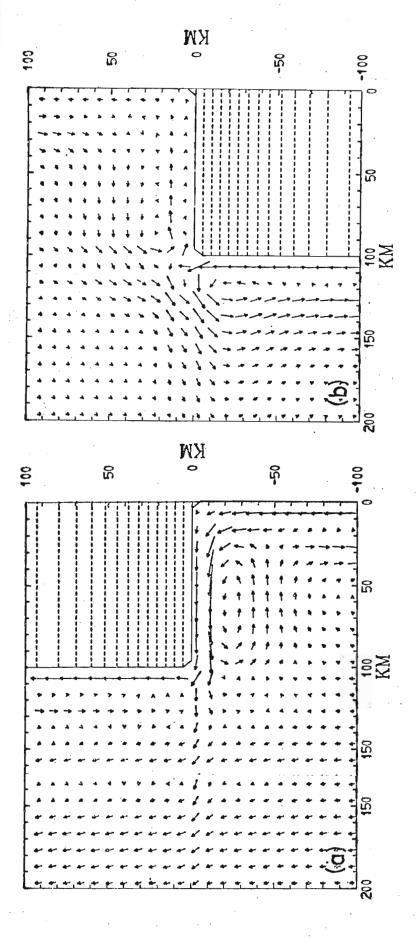


Fig. 20. Barotropic transports for Case a (a) and (b) at day 5. Case b

Fig. 21 shows contours of potential vorticity in the lower layer at days 5 and 10 for Case a and day 5 for Case b. Since conservation of potential vorticity is a good approximation for these solutions outside the viscous boundary layers, Fig. 21 and slow time variation of the contours of potential vorticity show that the fluid particles in the upwelling boundary layer should follow the coastline and remain in the boundary layer for great distances, 0(1000 km), along the coast, a point with important implications for biologists and environmentalists. However, recall from (35) that potential vorticity may not be well conserved in the presence of wind stress curl or sloping topography. The wave-like feature on the 325 contour in Fig. 21c is consistent with the pattern of relative vorticity for the barotropic mode (Fig. 20b). Note the -4 cm contour of the free surface anomaly (Fig. 19b) also shows a slight spike in this region. A similar but much weaker feature (not shown in Fig. 2la,b) occurs in Case a south of the western end of the zonal section.

# b. Effects of mesoscale capes and bays

The effects of coastline geometry are further illustrated by Cases c through f. Fig. 22a,b depicts the solution at day 5 for a rectangular cape, 51×30.7 km. Fig. 22a demonstrates that, as before, the longshore currents follow the coastline with only a slight tendency to separate from demonstrates that, as before, the longshore currents follow the coastline with only a slight tendency to separate from the zonal segments. The coastal current is oppositely

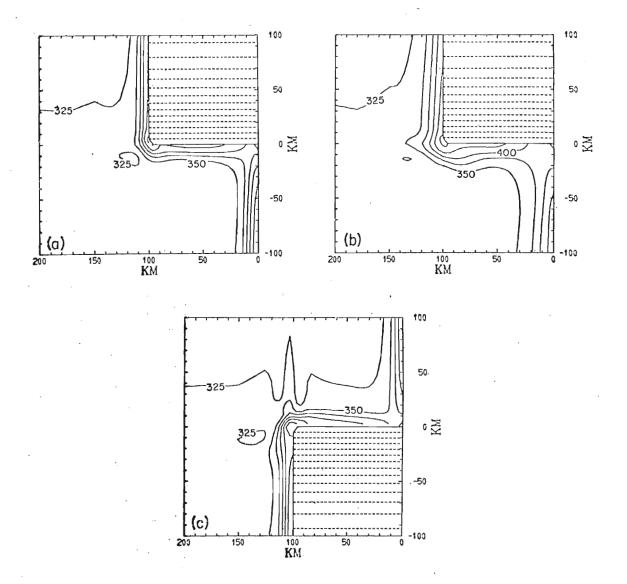
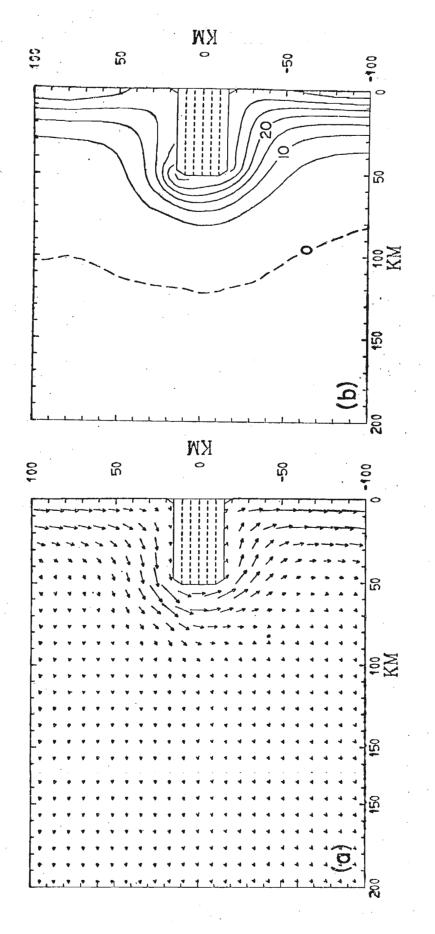


Fig. 21. Lower layer potential vorticity in cm $^{-1}$  sec $^{-1}\times 10^{-7}$  for Case a at days 5 (a) and 10 (b) and for Case b at day 5 (c). The wind was shut off at day 5.



(a) and pycnocline day 5. Fig. 22. Upper layer velocity height anomaly in m (b) for Case c at

directed in the lower layer. The pycnocline height anomaly (Fig. 22b) shows a pattern which is a combination of the effects found in Cases a and b. Strong upwelling occurs along all parts of the zonal segments of the coastline, but is weaker at the eastern end of the northern segment and stronger at the western end. Oppositely along the southern segment, it is weaker near the western end and stronger near the eastern end. The upwelling at the center of the southern segment is slightly stronger than at the center of the northern segment. The weaker upwelling along the northern meridional segment reflects the northward propagation of the weaker upwelling near the eastern end of the northern zonal segment (cf. Fig. 17).

Fig. 23 illustrates the solution at day 5 near a cape resembling the coastline in the vicinity of Point Concepcion, California. Fig. 23a shows again that the equatorward surface jet follows the coast. The weak current overshooting the end of the cape indicates the presence of a weak cyclonic eddy in the barotropic mode off the point of the cape. coastal current is oppositely directed in the lower layer. Fig. 23b shows that the upwelling follows the coastline, but otherwise this cape exhibits no dramatic effect on the pattern of vertical motion. Upwelling is greatest near the point of the cape and slightly greater on the southern side then on the northern side. The strength of the upwelling point of the cape and slightly greater on the southern side then on the northern side. The strength of the upwelling varies by about 35 per cent along the cape.

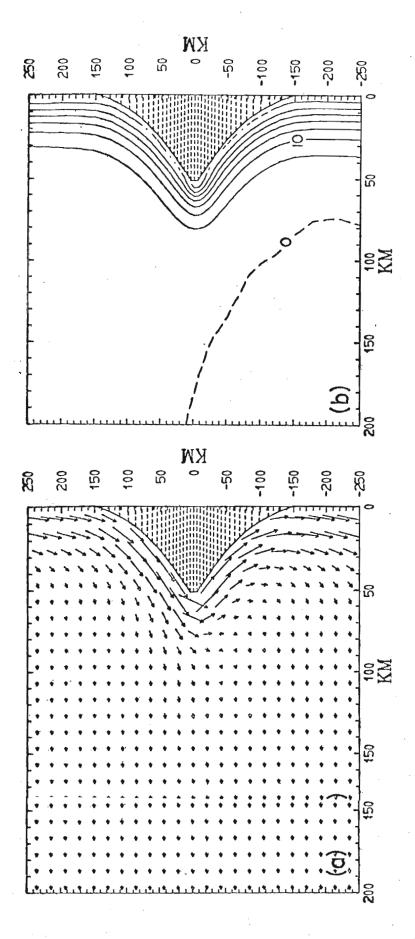
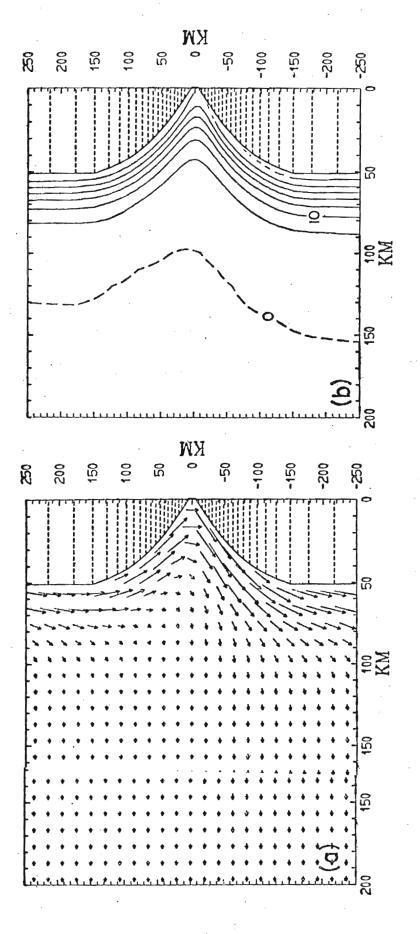


Fig. 23. Upper layer velocity (a) and pycnocline height anomaly in m (b) for Case d at day 5.

Fig. 24 depicts the solution in the vicinity of a bay at day 5. As before, the equatorward surface jet (Fig. 24a) and the poleward undercurrent follow the coastline. The pattern of vertical motion also follows the coast. The upwelling at the coast exhibits a distinct minimum at the center of the bay, nearly 20 per cent less than along the meridional segments. The E-W amplitude of the 5 m contour is 42 km compared to the 51 km amplitude of the bay.

The final case to be discussed (Case f) is the only one to incorporate both coastline variations and sloping topography (see Fig. 14). Thus, it is also the only case with coastline variations to be discussed which has a significant barotropic mode. The same bay geometry as Case e is employed. The bottom topography follows the coastline with a uniform E-W slope of  $2\times10^{-3}$ , the same basic slope used in Section 4. The initial upper layer thickness (30 m), and the height of the topography above the reference level at the coast (155 m) are also the same. Fig. 25a,b shows the upper and lower layer velocities at day 5. As in Cases a through e, the coastal currents follow the coastline and as in the cases with similar basic topography discussed in Section 4 there is no poleward undercurrent along the meridional sections of the coastline. However, there is a weak and narrow poleward undercurrent along almost all of the datanal acception which hamply shows up in Fig. 25b. and narrow poleward undercurrent along almost all of the non-meridional coastline, which barely shows up in Fig. 25b. The width of the current is < 10 km and the maximum velocity



Upper layer velocity (a) and pycnocline height for Case e at day 5. Fig. 24. anomaly in m (b) ar

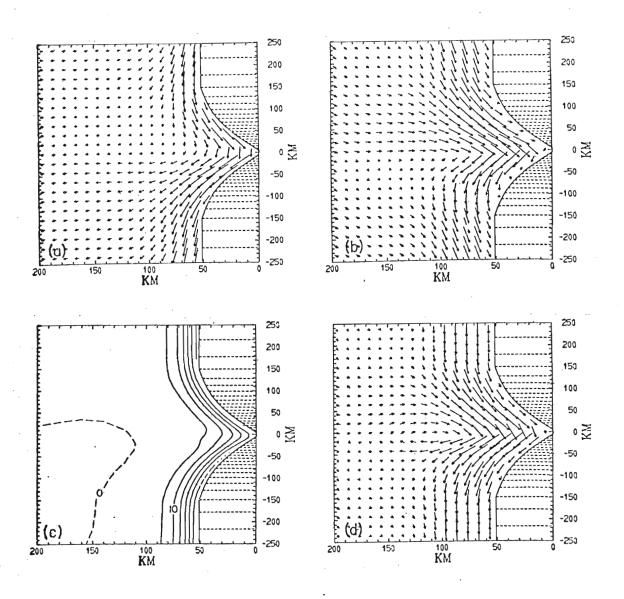


Fig. 25. Upper layer velocity (a), lower layer velocity (b), pycnocline height anomaly in m (c) and the barotropic transport (d) for Case f at day 5.

is about 3 cm  $\sec^{-1}$ . The maximum width and strength of the current occurs at y = 0. Fig. 25c shows that the upwelling follows the coast. As in Case e the upwelling at the coast exhibits a distinct minimum near y = 0.

Fig. 25d shows the barotropic mode at day 5. The maximum speed is about 15 cm sec<sup>-1</sup>. The velocities associated with the eddy are approximately 1 cm sec<sup>-1</sup>, about the same as the general onshore return flow in the lower layer as demonstrated by Fig. 25b. It is also similar in strength to the barotropic flows which occurred in the flat bottom case due to nonlinear effects and bottom stress.

## 6. SUMMARY AND CONCLUSIONS

In the present study an x-y-t two-layer, primitive equation model on a  $\beta$ -plane has been used to investigate three-dimensional aspects of the wind-driven eastern ocean circulation, emphasizing the effects of various configurations of bottom topography and coastline geometry on coastal currents and the pattern of vertical motion. The model is time-dependent and retains the free surface. It is integrated using an efficient semi-implicit numerical scheme and a variable resolution grid which allows resolution of mesoscale boundary layers and longshore features, and a model region with an E-W extent great enough to permit development of a Sverdrup interior. A unique feature of the model is a quasi-symmetric open-basin northern and southern boundary condition which allows development of a Sverdrup interior even when the model is solved on regions with mesoscale N-S extent.

Several case studies which demonstrate the role of the northern and southern boundary conditions have been compared in Section 3. They show that solutions for a closed basin on a  $\beta$ -plane, an open basin on a  $\beta$ -plane using quasisymmetric northern and southern boundary conditions, and basin on a  $\beta$ -plane, an open basin on a  $\beta$ -plane using quasisymmetric northern and southern boundary conditions, and the quasi-symmetric x-z plane model of Hurlburt and Thompson (1973) are in close agreement. The influence on the

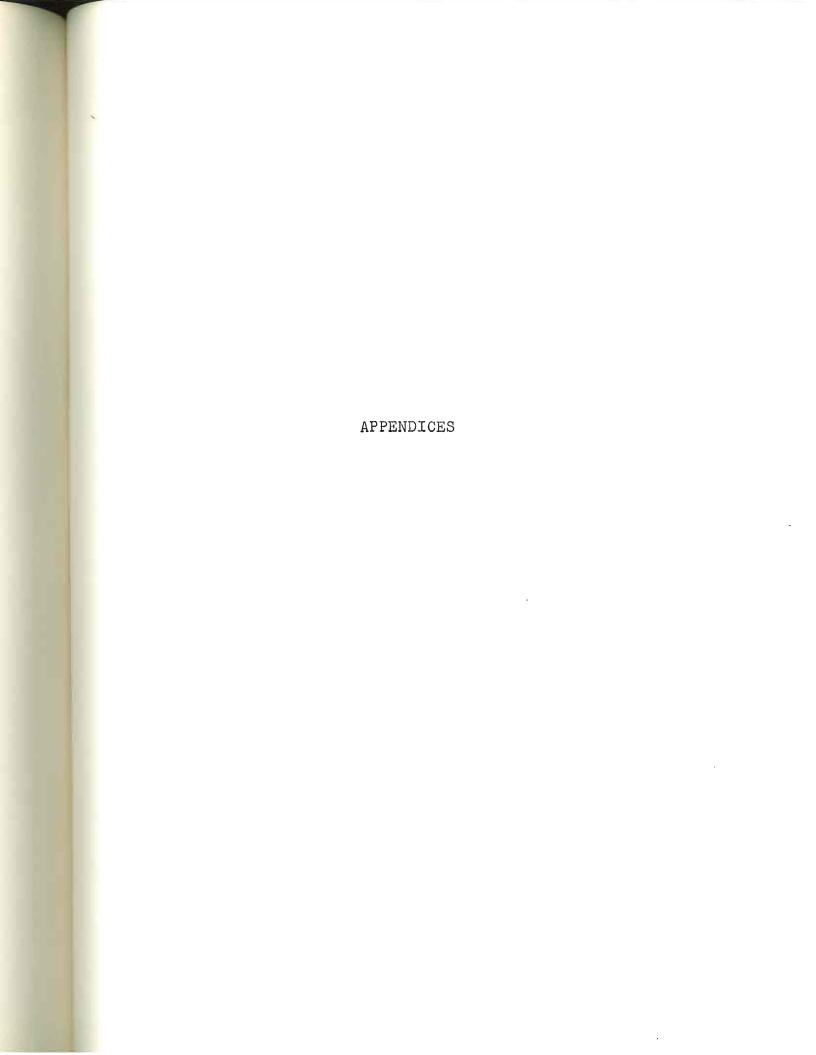
barotropic mode of coastline orientation, wind stress distribution, wind stress curl in the upwelling zone, and bottom topography have also been discussed in Section 3. In particular,  $\beta$ -plane solutions with longshore winds were found insensitive to the coastline orientation for large departures from the meridional. The manner in which the distribution of the wind stress may affect the N-S pressure gradient in an f-plane solution is also demonstrated.

In Section 4 the effects of longshore variations in topography were discussed. The topographic  $\beta$  effect was found to be an important aspect of the dynamics even for mesoscale features, O(100 km). For an equatorward wind stress,  $\beta_m > 0$  (cf. Eq. (33)) contributes a poleward component to the flow,  $\beta_{m}$  < 0 an equatorward component. Due to the E-W slope in the topography potential vorticity is not conserved. The streamlines make only distorted attempts to follow the isobaths. The longshore variations in topography also produce barotropic flows well beyond their physical extent, both longshore and offshore, in response to requirements of mass continuity. These flows appear to respond on a scale governed by the barotropic radius of deformation. Quite striking in all the solutions with longshore variations in topography is the lack of noticeable wave phenomena associated with the topographic perturbations, PHOTO ANTEROTOMS THE ANDORTHDAM TO AME THOU OF HONTOCHDIE wave phenomena associated with the topographic perturbations, except for the northward propagation of one barotropic feature after the wind is shut off.

Section 5 discussed the effects of mesoscale, 0(100 km), coastline variations. The salient results will be summarized in terms of a 51×30.7 km rectangular cape (cf. Fig. 22). The coastal currents and the upwelling were found to follow the coastline. Thus, strong upwelling not driven directly by the wind occurs along the zonal segments of the coastline. However, it is weaker at the eastern end of the northern segment and stronger at the western end. Oppositely, it is weaker near the western end of the southern segment and stronger near the eastern end. This demonstrates that the continuity of the baroclinic coastal jet is partitioned between a vertical circulation and a circulation in the horizontal plane. (See Section 5a for a more detailed discussion.) The upwelling at the center of the southern E-W segment of the cape is only slightly stronger than at the center of the northern segment due to the direct effect of winds normal to the coast.

When the wind is turned off the distribution of upwelling is no longer constrained to the coastal positions
described, but instead, propagates along the coast near the
speed of an internal Kelvin wave. Thus, significant upwelling can occur at some regions along the coast even after
the winds have been shut off. The region of weak upwelling
at the eastern end of the northern E-W segment propagates
northward as a large amplitude Kelvin wave while the wind
at the eastern end of the northern E-W segment propagates
northward as a large amplitude Kelvin wave while the wind
stress is still acting. Thus, regions north of the cape may

experience depressed upwelling and temporary downward vertical motion under equatorward wind stress forcing. The work of Suginohara (1973) and Gill and Clarke (1973) on the effects of the wind stress distribution, and the present work on the effects of coastline geometry have identified Kelvin wave dynamics as an element of fundamental importance to the coastal upwelling problem.



# APPENDIX A

# LIST OF SYMBOLS

Α	horizontal eddy viscosity
c <sub>I</sub> , c <sub>B</sub>	drag coefficients for interfacial and bottom friction
D	height of the bottom topography above a reference level
f	Coriolis parameter
g	acceleration due to gravity
g'	reduced gravity, $g(\rho_2 - \rho_1)/\rho_2$
h	total depth
h <sub>1</sub> , h <sub>2</sub>	instantaneous local thickness of the layers
H <sub>1</sub> , H <sub>2</sub>	initial thickness of the layers
L <sub>v</sub>	width of the viscous boundary layer in the y-momentum equation
L <sub>x</sub> , L <sub>y</sub>	total extent of the model region in the $\boldsymbol{x}$ and $\boldsymbol{y}$ directions
N	Brunt-Väisälä frequency
t	time
u, v	barotropic components of velocity in the x and y directions
u <sub>1</sub> , u <sub>2</sub>	x-directed components of current velocity
v <sub>1</sub> , v <sub>2</sub>	y-directed components of current velocity
х, у, z	tangent plane Cartesian coordinates: x positive eastward, y positive northward, z positive upward
	z positive upward

β	df/dy
$\beta_{\mathrm{T}}$	value of $\beta$ simulated by N-S sloping topography
Δt	time increment in the numerical integration
Δx, Δy	horizontal grid increments in the $\boldsymbol{x}$ and $\boldsymbol{y}$ directions
ζ	free surface anomaly
λ	baroclinic radius of deformation
$\lambda_{ m E}$	barotropic radius of deformation
ρ, ρ <sub>1</sub> , ρ <sub>2</sub>	densities of sea water
$\tau_{sx}$ , $\tau_{Ix}$ , $\tau_{Bx}$	x-directed tangential stresses at the surface, interface, and bottom
$\tau_{\rm sy}$ , $\tau_{\rm Iy}$ , $\tau_{\rm By}$	y-directed tangential stresses at the surface, interface, and bottom

#### APPENDIX B

#### AN OPEN-BASIN BOUNDARY CONDITION FOR OCEAN MODELS

Consider a stably-stratified rotating ocean model on a \$\beta\$-plane with solid eastern and western boundaries, but open-basin northern and southern boundaries. We will discuss a northern and southern boundary condition which permits development of a Sverdrup interior even when the portion of the basin solved has only a mesoscale N-S extent. However, the E-W scale, O(1000 km) or more, cannot be relaxed if the model is to develop a Sverdrup interior without the imposition of some additional constraint.

Our discussion will be in terms of a two-layer, vertically-integrated primitive equation model which is hydrostatic and Boussinesq and which neglects thermodynamics and thermohaline mixing. A similar condition could be developed for other model formulations. Under the assumptions given, the momentum equations and equations of continuity form the following closed set for an x-y-t two-layer model on a  $\beta$ -plane:

$$\frac{\partial \vec{v}_1}{\partial t} + \vec{v}_1 \cdot \nabla \vec{v}_1 + \hat{k} \times \vec{f} \vec{v}_1 = - g \nabla (h_1 + h_2 + D) + \frac{\vec{\tau}_s - \vec{\tau}_1}{\rho h_1} + A \nabla^2 \vec{v}_1$$

 $\partial h_1$   $\rightarrow$  (1)

$$\frac{\partial h_1}{\partial t} + \nabla \cdot (h_1 \vec{V}_1) = 0 \tag{2}$$

$$\frac{\partial h_1}{\partial t} + \nabla \cdot (h_1 \overrightarrow{V}_1) = 0 \tag{2}$$

$$\frac{\partial \vec{v}_2}{\partial t} + \vec{v}_2 \cdot \nabla \vec{v}_2 + \hat{k} \times f \vec{v}_2 = - g \nabla (h_1 + h_2 + D) + g \nabla h_1 + \frac{\vec{\tau}_1 - \vec{\tau}_B}{\rho h_2} + A \nabla^2 \vec{v}_2$$
(3)

$$\frac{\partial h_2}{\partial t} + \nabla \cdot (h_2 \vec{V}_2) = 0 \tag{4}$$

where 1 denotes the upper layer, 2 the lower layer,

$$\nabla = \frac{\partial}{\partial x} \hat{\mathbf{i}} + \frac{\partial}{\partial y} \hat{\mathbf{j}}$$

$$\vec{\nabla}_{1} = u_{1} \hat{\mathbf{i}} + v_{1} \hat{\mathbf{j}}$$

$$f = f_{0} + \beta(y - y_{0})$$

$$g' = g(\rho_{2} - \rho_{1})/\rho_{2}$$

$$\vec{\tau}_{3} = \tau_{3} \hat{\mathbf{i}} + \tau_{3} \hat{\mathbf{j}}$$

$$\vec{\tau}_{1} = \rho C_{1} |\vec{\nabla}_{1} - \vec{\nabla}_{2}| (\vec{\nabla}_{1} - \vec{\nabla}_{2})$$

$$\vec{\tau}_{B} = \rho C_{B} |\vec{\nabla}_{2}| \vec{\nabla}_{2}$$

and i = 1, 2. Symbols are defined in Appendix A, although most of the notation used is common in oceanography. D(x) represents the height of the bottom topography above a reference level. The formulation of the interfacial and bottom stresses follows O'Brien and Hurlburt (1972), but has been modified slightly to be invariant under coordinate transformation.

The northern and southern houndary conditions are transformation.

The northern and southern boundary conditions are based on the x-z plane model of Hurlburt and Thompson (1973)

which neglects longshore derivatives of the velocity field and the wind stress, but retains the N-S pressure gradient and the  $\beta$  effect. Hurlburt and Thompson discuss in detail the derivation of their model, which we will refer to as quasi-symmetric because of its partial neglect of longshore derivatives. Two crucial requirements for this boundary condition to be applicable are that the longshore flow be nearly geostrophic and that

$$\left| \int_{-L_{x}}^{x} f \frac{v_{1}}{y} dx \right| << \left| \int_{-L_{x}}^{x} \beta v_{1} dx \right|$$

near the northern and southern boundaries, where  $-L_{\rm x}$  is at the western boundary. Since f  $(\partial v_1/\partial y)$  may be comparable to  $\beta v_1$  in the eastern boundary layers, the latter requirement essentially demands that the N-S pressure gradient exhibit only a second order change across these eastern layers. The longshore flow may be nearly geostrophic even into the hydrostatic viscous boundary layer. Geostrophy holds in this layer because the velocity component aspect ratio,  $u_1/v_1$ , is  $O(10^{-1})$ .

In summary, the quasi-symmetric boundary condition sets  $\partial/\partial y = 0$  in (1) through (4) except for the N-S pressure gradient in the momentum equations and  $\partial hv_1/\partial y$  in the continuity equations. The N-S pressure gradients are given by

$$g_{\nu} \frac{\partial}{\partial x} (h_{\nu} + h_{\nu} + D) = \int_{0}^{X} \left| g_{\nu} (v_{\nu} - v_{\nu}) \right| d\underline{x} + g_{\nu} \frac{\partial}{\partial x} (h_{\nu} + h_{\nu} + D) \Big|_{T_{\nu}} (6)$$

$$g \frac{\partial}{\partial y} (h_1 + h_2 + D) = \int_{-L_x}^{x} \beta (v_1 - v_A) dx + g \frac{\partial}{\partial y} (h_1 + h_2 + D) \Big|_{-L_x}$$
 (6)

$$g \frac{\partial}{\partial y} (h_1 + h_2 + D) - g' \frac{\partial h_1}{\partial y} = \int_{-L_X}^{X} \beta \left( v_2 + \frac{h_1}{h_2} v_A \right) dx$$

$$+ g \frac{\partial}{\partial y} (h_1 + h_2 + D) \left|_{-L} - g' \frac{\partial h_1}{\partial y} \right|_{-L_Y}$$
(7)

where

$$v_{A} = v_{1} - \left[v_{1}h_{1} + \left(v_{2} + \frac{g!}{f} \frac{\partial h_{1}}{\partial x}\right) h_{2}\right] / (h_{1} + h_{2})$$
 (8)

Note that application of the Hurlburt and Thompson model as a boundary condition has required two significant modifications. First, the term  $\partial h_i v/\partial y$  is retained in the continuity The second difference is the manner in which the ageostrophic part of the departure from a modified depth average of v given by (8) is removed in (6) and (7). Hurlburt and Thompson show that the N-S pressure gradient which develops due to the vorticity constraint of a Sverdrup interior responds primarily to the geostrophic N-S flow. Note that neither the barotropic nor the baroclinic component of the geostrophic flow is affected by  $v_{\Lambda}$ . The most significant ageostrophic components of the N-S flow are inertial oscillations and Ekman drift, both of which are effectively removed from (6) and (7) by (8). Were these not removed, (6) and (7) show they would result in spurious contributions to the N-S pressure gradient at the northern and southern boundaries. This effect would propagate into the interior as gravity waves which are observed in numeriand southern boundaries. This effect would propagate into the interior as gravity waves which are observed in numerical solutions when we set  $v_A$  = 0. Since the N-S pressure

gradient may affect the strength of the circulation in the plane normal to the coast, inertial oscillations in this pressure gradient could also excite Kelvin waves. Again these have been found in numerical solutions when we set  $\mathbf{v}_{\mathrm{A}} = \mathbf{0}.$ 

Several other techniques for the removal of ageo-strophic components from v in (6) and (7) have been investigated, but the use of (8) is the only one which has not led to instabilities such as amplification of the fundamental barotropic E-W mode of the basin.

The value of the N-S pressure gradient at the western boundary should be determined by an integral constraint on the mass flux through the boundary, but for some applications it may be sufficient to arbitrarily set it to zero. This has been done in both applications considered by the author thus far.

The northern and southern boundary conditions described here have been tested on two quite different problems. The first is a study of the eastern ocean circulation driven by an equatorward wind stress. Several different cases which demonstrate the role of the northern and southern boundary conditions are compared in Section. 3. It is important to note that solutions for a closed basin on a β-plane, the quasi-symmetric boundary conditions described here, and the x-z plane model of Hurlburt and Thompson are essentially the quasi-symmetric boundary conditions described here, and the x-z plane model of Hurlburt and Thompson are essentially the same in the interior and the eastern ocean. They may be different in the western ocean, if the N-S pressure gradients

at the western boundary of the quasi-symmetric condition are not determined by an integral constraint on the total mass flux through the northern and southern boundaries.

The second study was a simulation of the narrow seasonal equatorial jet driven by a west wind in the Indian Ocean (results to be discussed elsewhere). In this case open basin boundary conditions which set  $\partial v_1/\partial y=0$  in the continuity equation or alternatively set  $\partial h_1/\partial y=0$  in the y-momentum equation produce amplification of the fundamental barotropic E-W mode of the basin which renders the solutions useless after 3 or 4 days of model time, but the one described here has yielded excellent results for a 75 day integration.

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